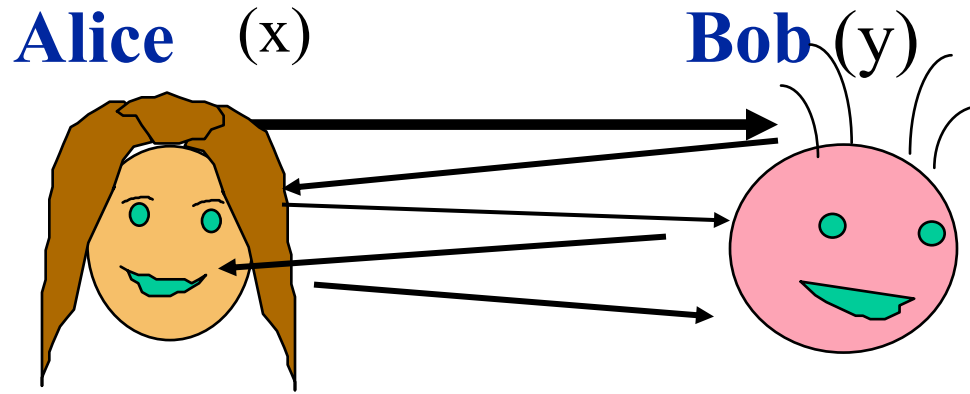


Lecture 14

Zero Knowledge I

From Secure Communication to Complex Interactions



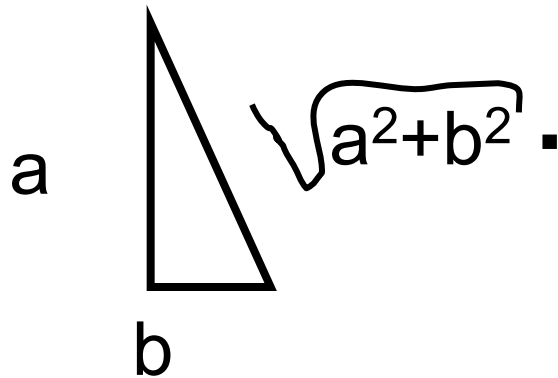
Now doing much more than communicating securely:

- **Complex interactions:** games, computations, proofs
- **Complex Adversaries:** Alice or Bob, adaptively chosen
- **Complex Properties:** correctness, simultaneity, fairness
- **Joined by others:** auctions, bidding, elections, e-commerce

Classical Proofs



Karl Friedrich Gauss.

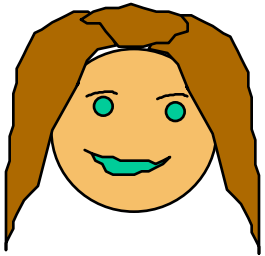


<p>Given: $\overline{AC} \perp \overline{BD}$ $\overline{BC} \equiv \overline{EC}$ \overline{AB} is not \equiv to \overline{ED}</p> <p>Prove: $\angle B$ is not \equiv to $\angle CED$</p>	
Statements	Reasons
<p>A 1. Assume: $\angle B \equiv \angle CED$</p> <p>2. $\overline{AC} \perp \overline{BD}$</p> <p>3. $\angle BCA$ and $\angle DCE$ are right \angles</p> <p>A 4. $\angle BCA \equiv \angle DCE$</p> <p>S 5. $\overline{BC} \equiv \overline{EC}$</p> <p>6. $\triangle BCA \equiv \triangle ECD$</p> <p>7. $\overline{AB} \equiv \overline{ED}$</p> <p>8. \overline{AB} is not \equiv to \overline{ED}</p>	<p>1. Assumption</p> <p>2. Given</p> <p>3. Defn. of \perp segs</p> <p>4. RAT</p> <p>5. Given</p> <p>6. ASA (1, 5, 4)</p> <p>7. CPCTC</p> <p>8. Given</p>
<p>But statement 7 contradicts statement 8. Consequently, the assumption must be false. $\therefore \angle B$ is not \equiv to $\angle CED$</p>	

Prime-
Number Thm

Proofs

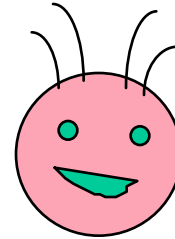
Prover



Claim

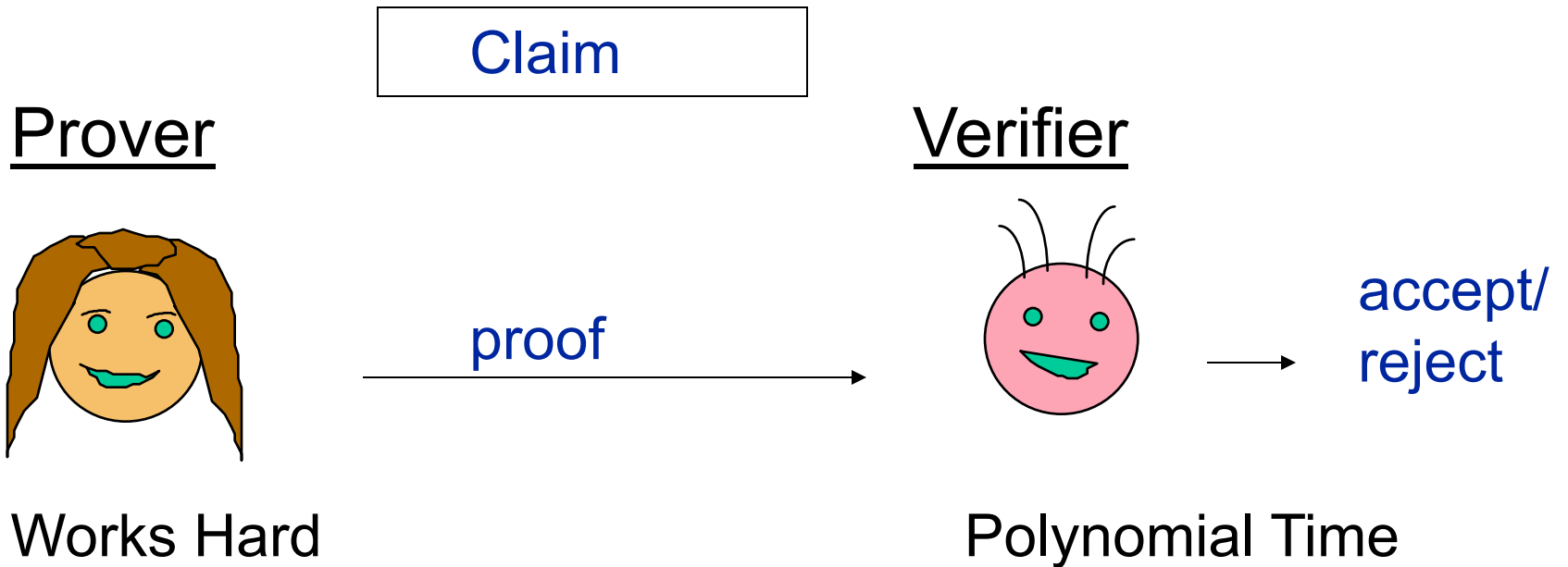
proof

Verifier

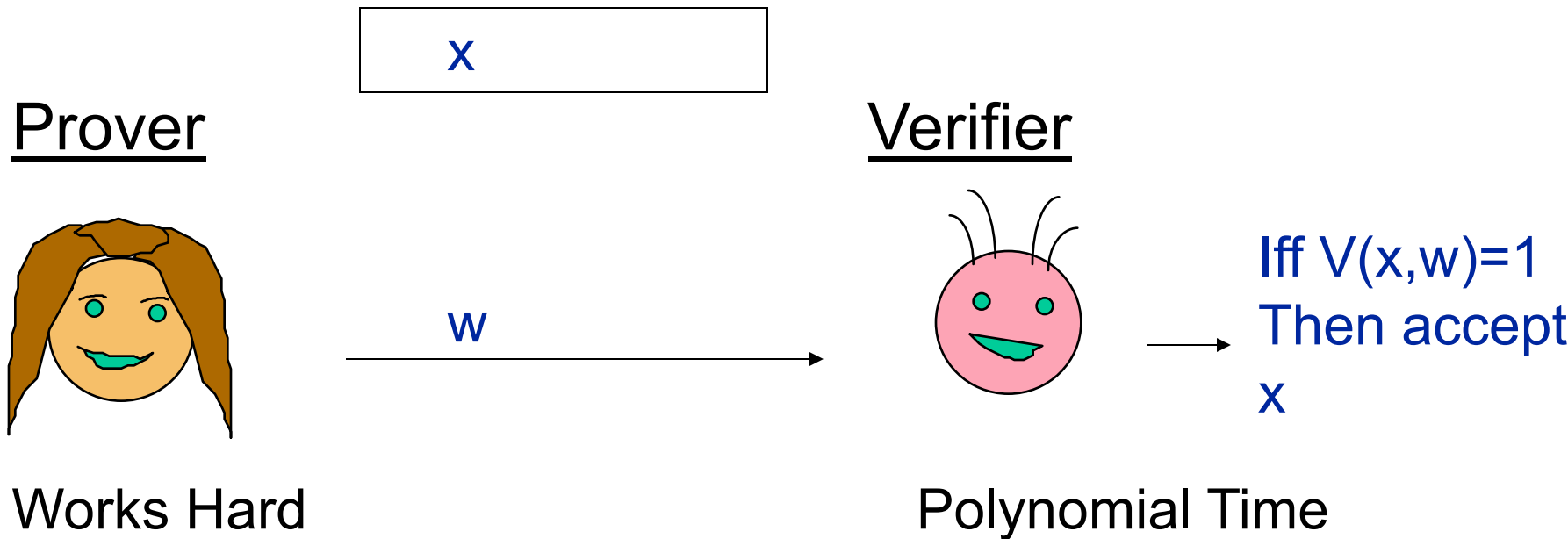


accept/
reject

Efficiently Verifiable Proofs (NP)

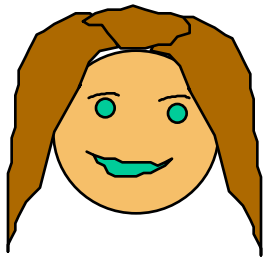


Efficiently Verifiable Proofs (NP)

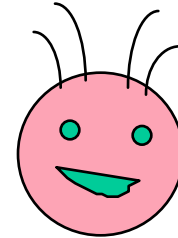


NP = decision problems D for which there is a short and polynomial time verifiable proofs (witness) of $x \in D$

Example: N is a product of 2 large primes



p, q

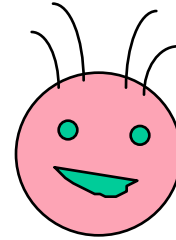
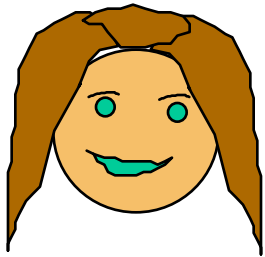


If $N=pq$, accept
Else reject

After interaction, Bob knows:

- 1) N is product of 2 primes
- 2) **Also** the factors of N

Example: y is a quadratic residue mod N (i.e $y=x^2 \pmod N$)

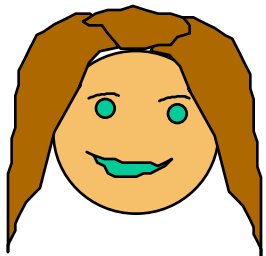
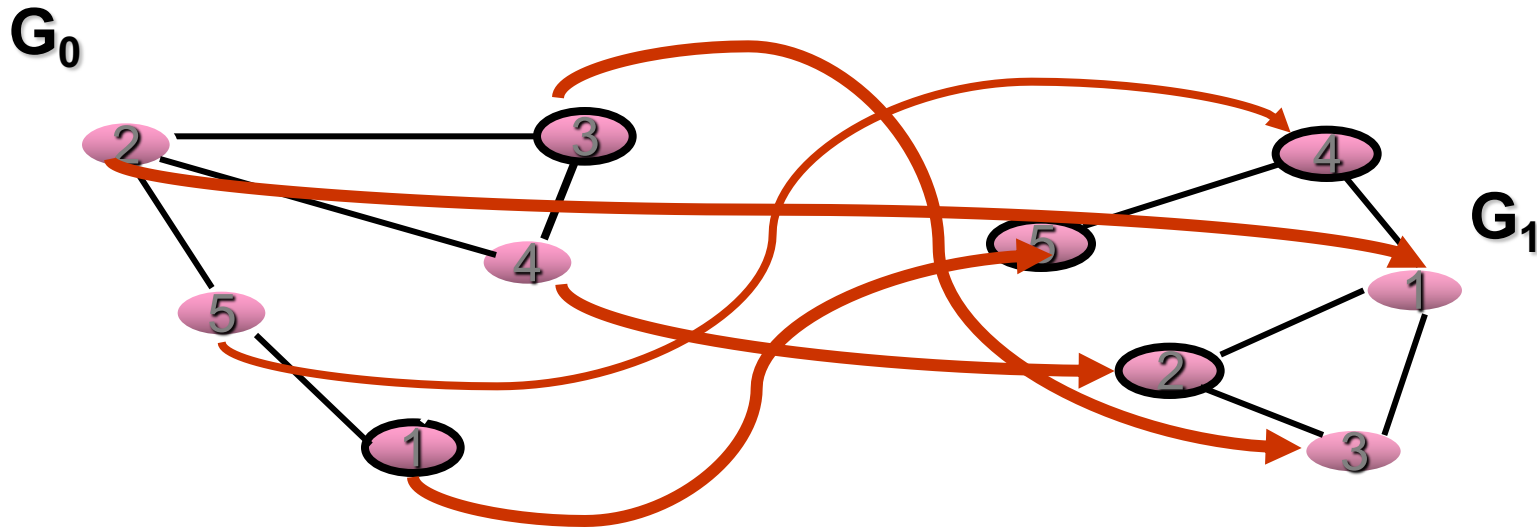


If $y=x^2 \pmod N$,
Accept
Else reject

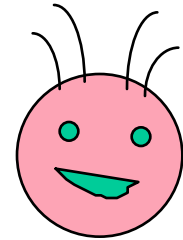
After interaction, Bob knows:

- 1) y is a quadratic residue mod
- 2) Square root of y

Example: G_0 is isomorphic to G_1

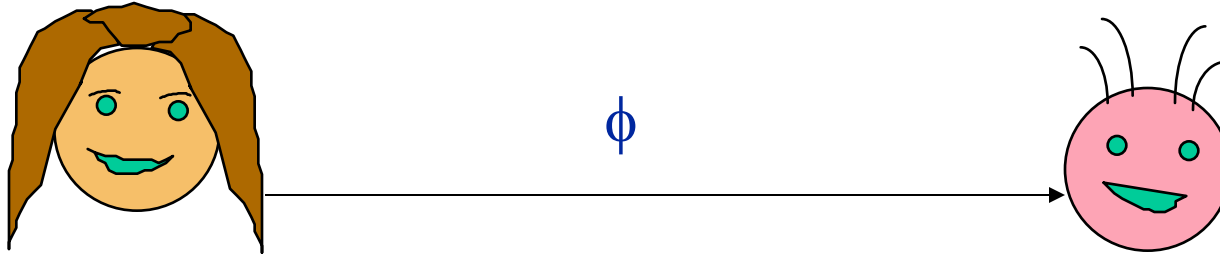


Isomorphism ϕ



If isomorphism
is good, accept
Else reject

G_0 isomorphic to G_1

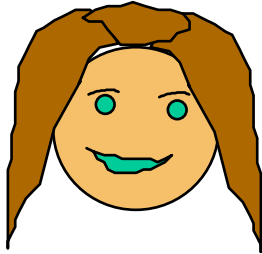


After interaction, Bob knows:

- 1) G_0 is isomorphic to G_1
- 2) **Also** the isomorphism

Is there any other way?

Zero Knowledge Proofs



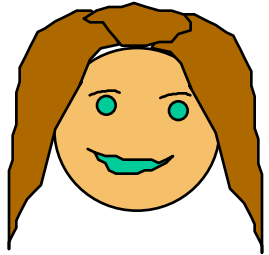
Main Idea:
Prove that
I could prove it
If I felt like it

Two New Ingredients

Interactive and Probabilistic Proofs

Non-trivial interaction: rather than “reading” proof, verifier engages in a non-trivial **interaction** with the **prover**.

Randomness: verifier is randomized (tosses coins as a primitive operation), and can err with some small probability



I will not give you
an isomorphism, but I will prove
to you that I could provide one.

HOW?



I will produce a random graph H for which

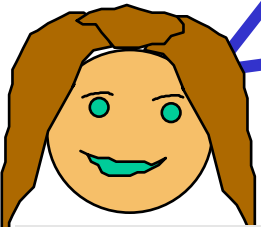
1: I can give you an isomorphism γ_0 from G_0 to H

OR

2: I can give you an isomorphism γ_1 from G_1 to H

Hence, there is an isomorphism σ from G_0 to G_1 directly

YOU randomly choose if I should demonstrate my ability to do **#1** or **#2**.



Proof:

$$H = \gamma_0(G_0),$$

$$H = \gamma_1(G_1),$$

Thus

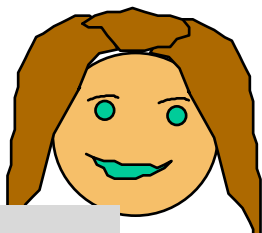
$$G_1 = \gamma_1^{-1}(\gamma_0(G_0))$$

$$\text{Set } \sigma = \gamma_1^{-1} \gamma_0$$

POINT IS: If I can do both, there exists an isomorphism from G_0 to G_1

An Interactive Proof

REPEAT K
INDEPENDENT
TIMES.



Graph H

Toss
coin b

b



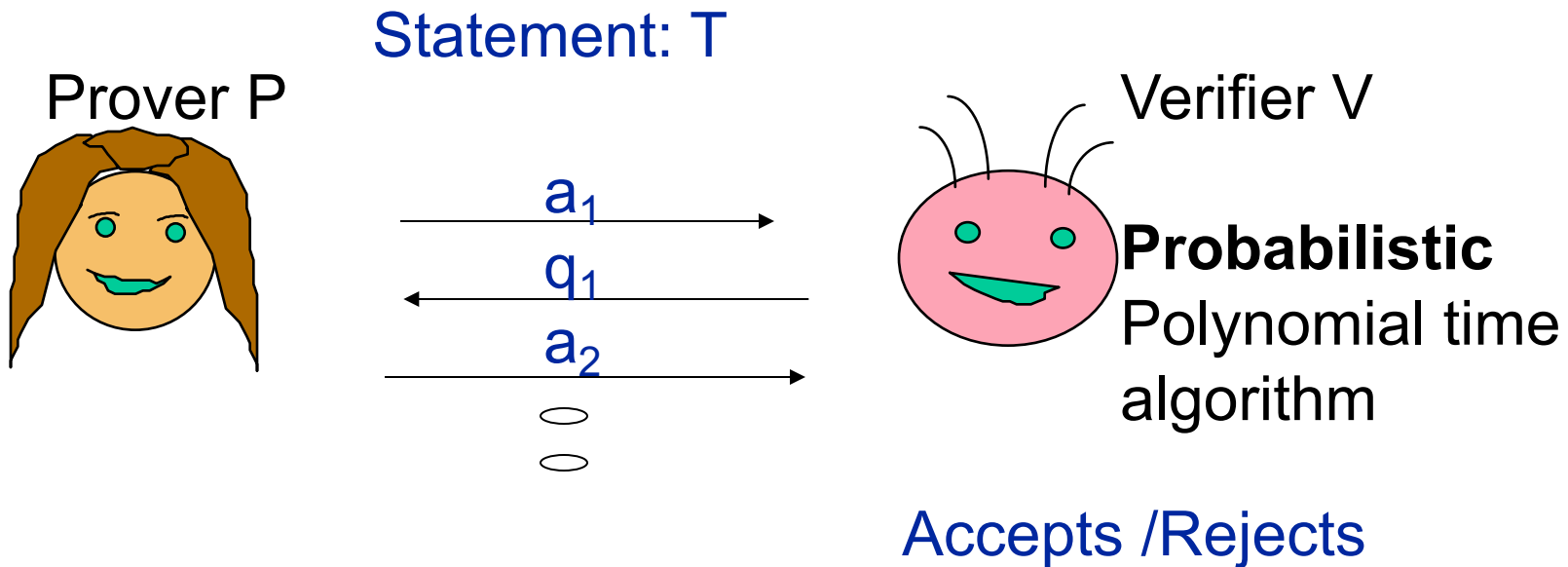
Choose
random γ_0
permutation
of vertices
of G_0 . Set
 $H = \gamma_0(G_0)$

If $b=0$: send γ_0
If $b=1$: send $\gamma_0 \sigma^{-1}$ (where $\sigma(G_0) = G_1$)

Claims:

- (1) Statement true \Rightarrow can answer correctly for $b=0$ and 1
- (2) Statement false $\Rightarrow \text{prob}_b(\text{catch a mistake}) = 1 - 1/2^k$
- (3) Zero Knowledge (to be defined)

Interactive Proofs[GMR85]



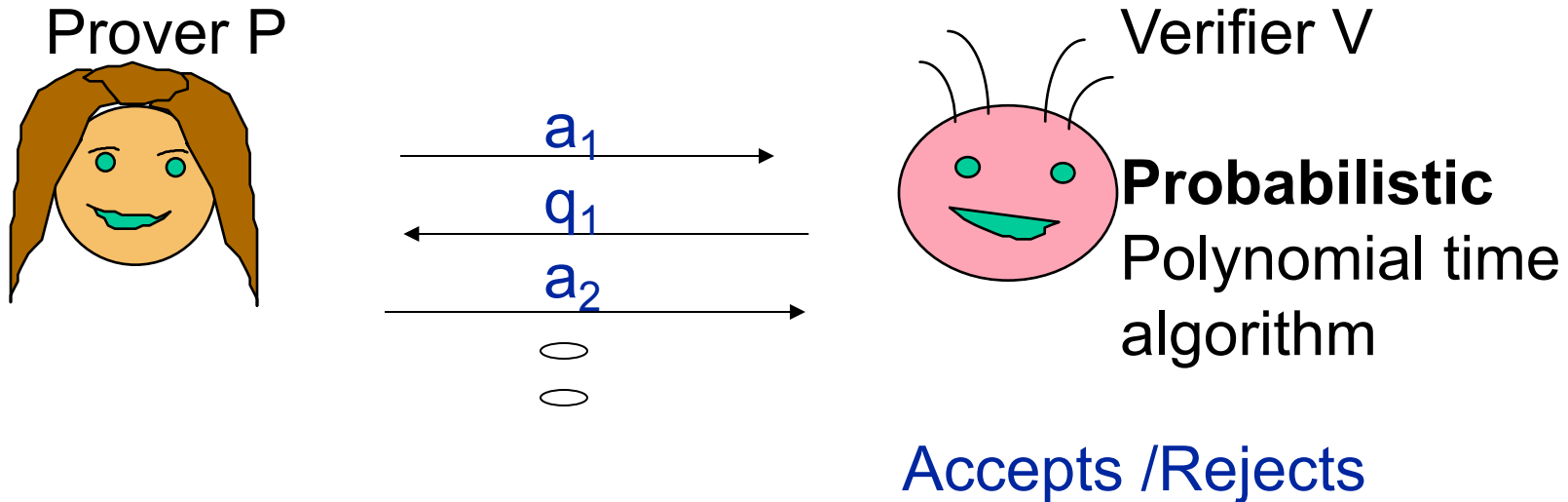
(P, V) is an **interactive proof system for T** if

Completeness: if T is true, then V will always accept

Soundness: if T is false, then regardless of prover P^* strategy, V will reject with overwhelming probability

Interactive Proofs for Language Membership [GMR85]

Statement: $x \in L$



(P, V) is an **interactive proof system** for L if

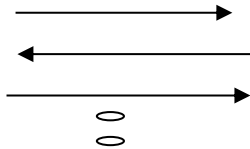
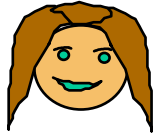
Completeness: if $x \in L$, then $\text{Prob}[(P, V)[x] = \text{accept}] = 1$

Soundness: if $x \notin L$, then $\forall P^*$
 $\text{Prob}[(P^*, V)[x] = \text{accept}] = \text{neg}(|x|)$

Remarks: Interactive Proofs

Prover P

Verifier V



Probabilistic

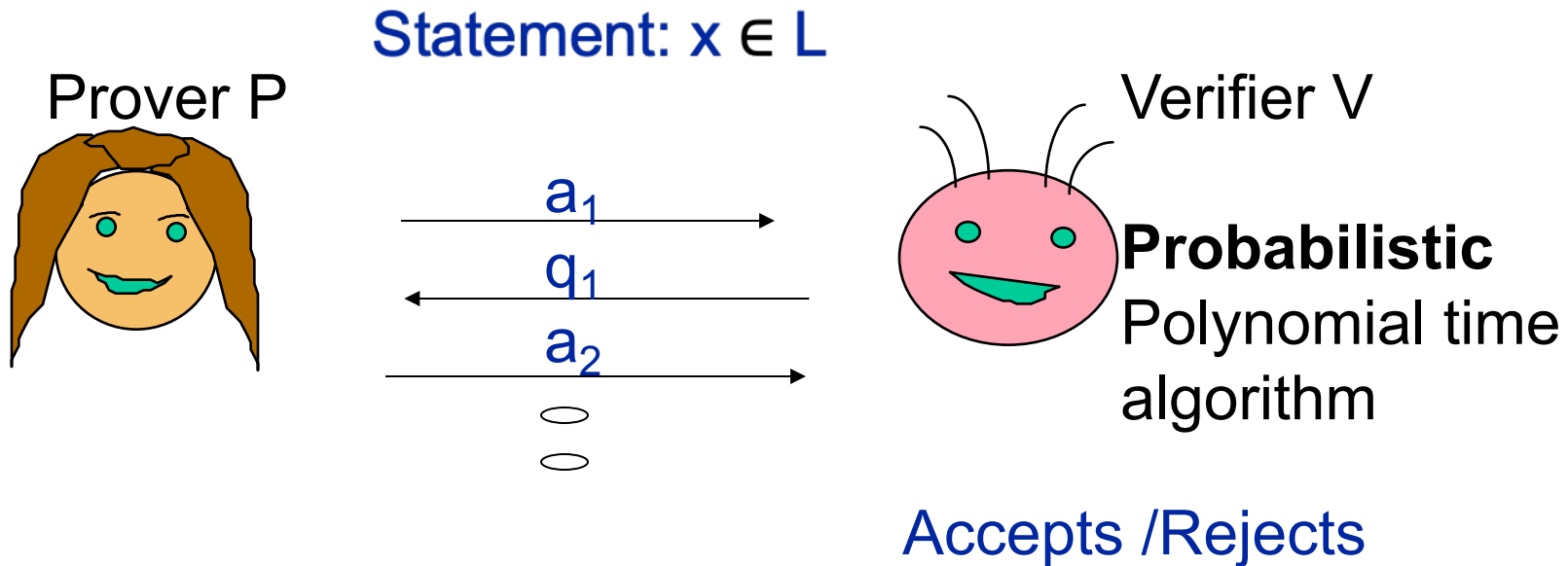
Polynomial time

Accepts

/Rejects

- P and V are a pair of interactive Algorithms, each having private inputs and private coins as well as a common public input.
- V additionally must run in polynomial time
- (P,V) satisfy completeness $c(x)$ & soundness $s(x)$ if
$$x \in L, \text{Prob}((P,V)[x]=\text{accepts}) > c(x)$$
$$x \notin L, \forall P^*, \text{Prob}[(P^*,V)[x]=\text{accepts}] < s(x)$$
- Suffice to require: $c(x)=2/3$ and $s(x)=1/3$

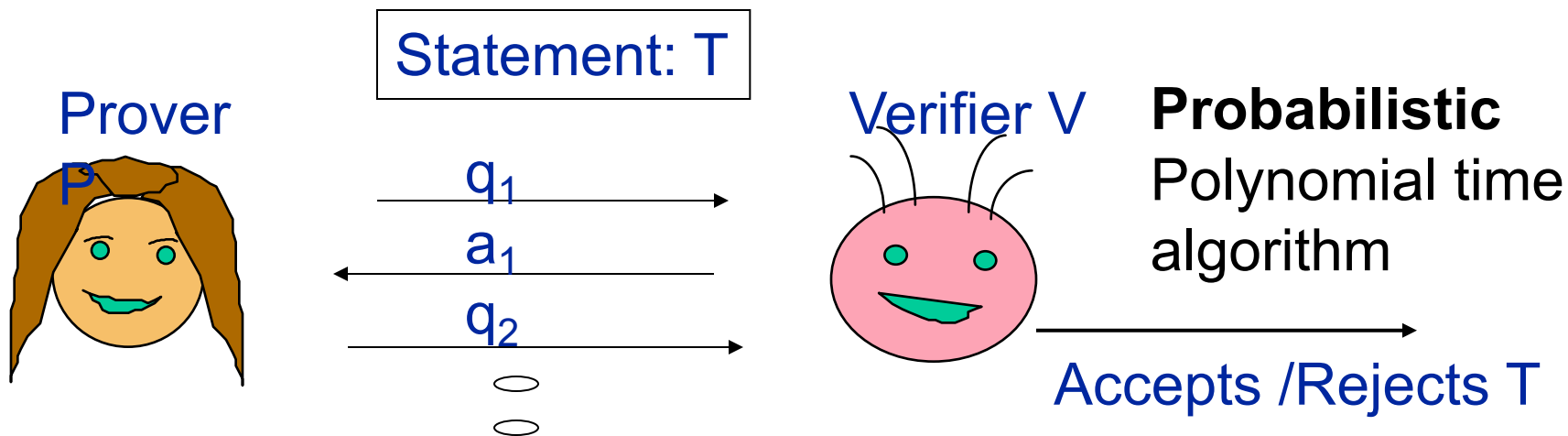
Class IP



IP = $\{L \text{ s.t. there exists } (P, V) \text{ interactive proof system for } L \text{ with completeness } c(x)=2/3 \text{ and soundness } s(x)=1/3\}$

Is IP greater than NP?

Zero Knowledge Interactive Proofs



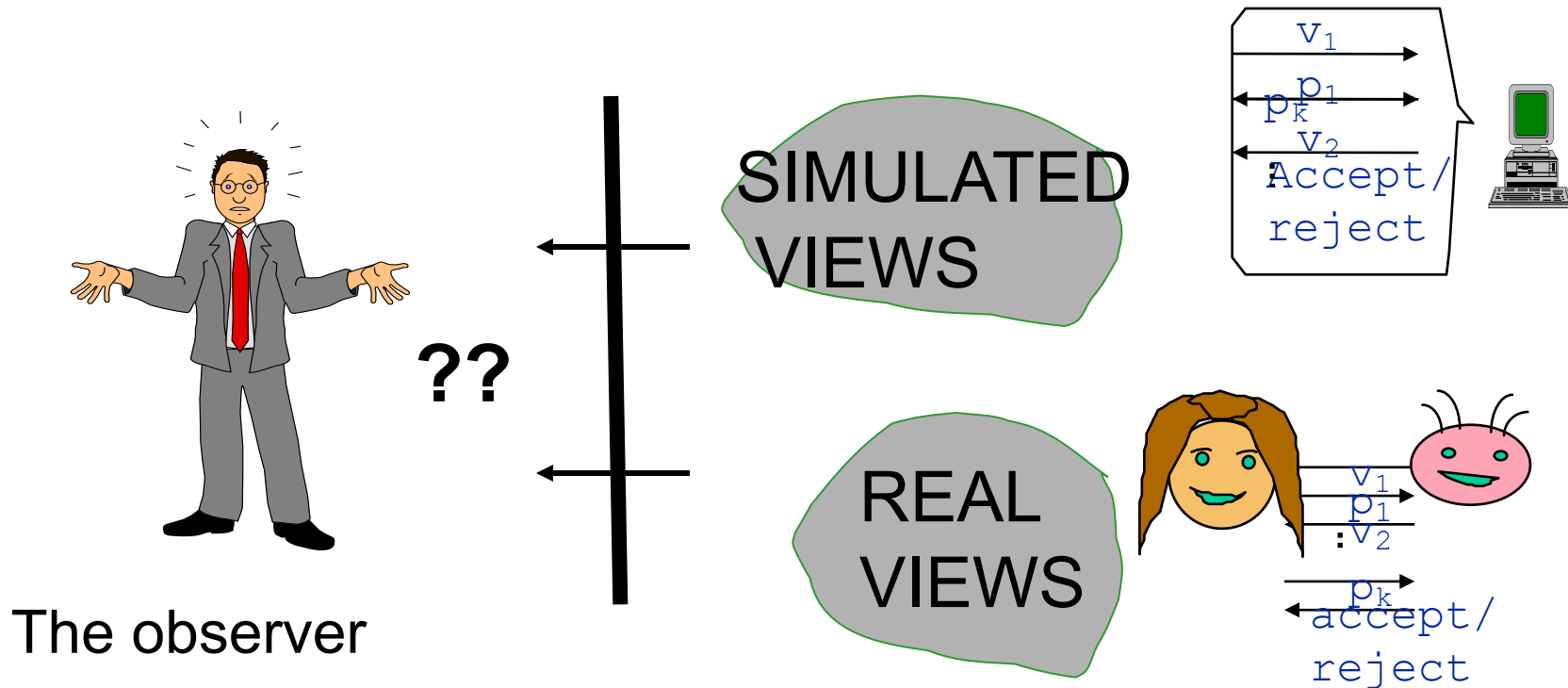
After interactive proof, V “knows”:

- T is true (or $x \in L$)
- A **view** of interaction (=transcript + coins V tossed)

P gives Zero-Knowledge to V: when T is true, the **view** gives V nothing he couldn't have obtained on his own without interacting

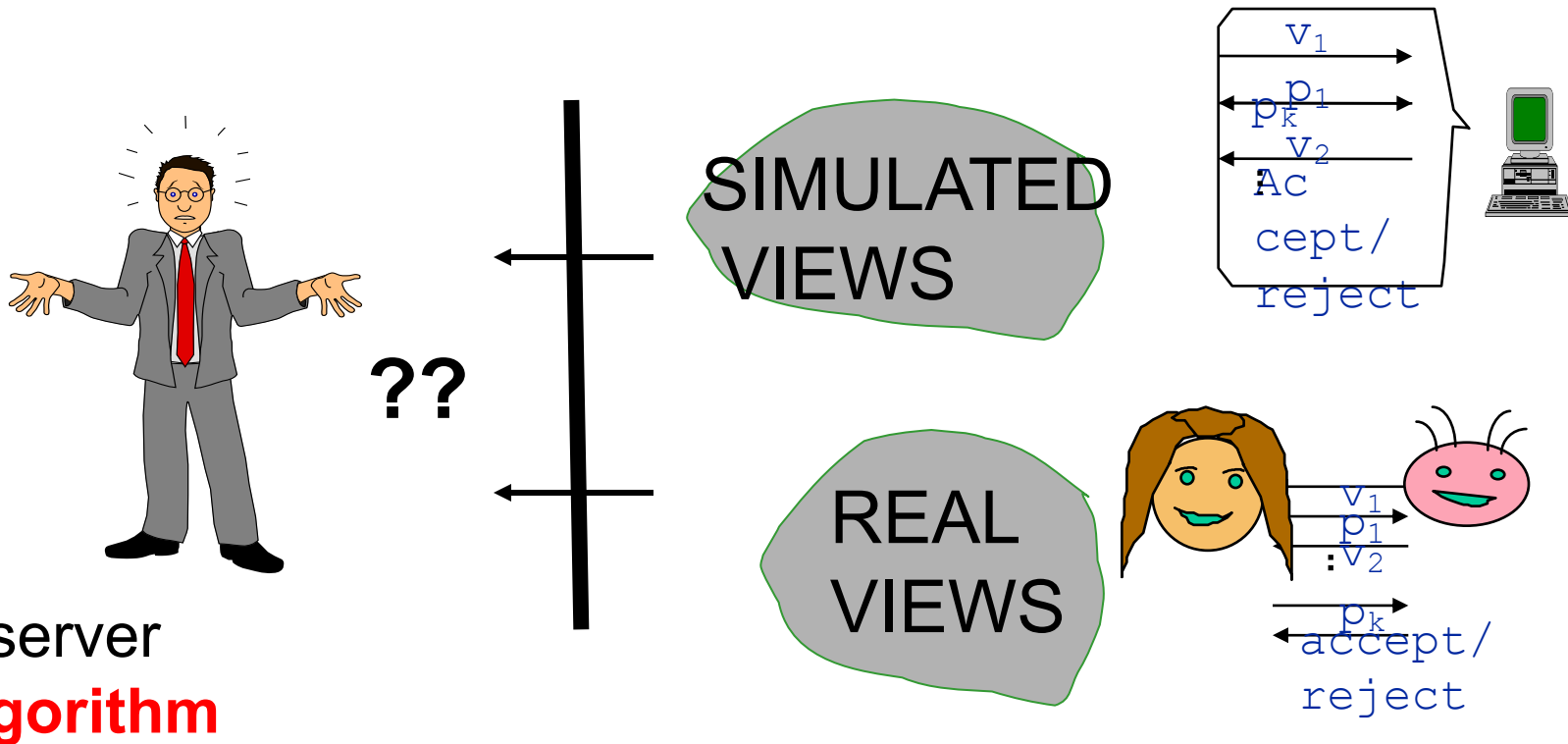
How Do we Capture Getting “Nothing Extra”(when T is true)

If: the verifier's view can be efficiently simulated so that `simulated views' and `real views' are indistinguishable by an observer



Perfect Zero Knowledge (when T is true)

If: the verifier's view can be efficiently simulated so that `Simulated views` = `real views`



The observer
Any Algorithm

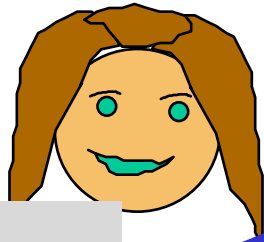
Formal Definition: Perfect Zero-Knowledge

For a given P and V on input x , define probability space $\text{View}_{(P,V)}(x) = \{(q_1, a_1, q_2, a_2, \dots, \text{coins of } V)\}$ (over coins of V and P)

(P, V) is **honest verifier perfect zero-knowledge** for L if:
 \exists SIM a polynomial time randomized algorithm s.t. $\forall x$ in L , $\text{View}_{(P,V)}(x) = \text{SIM}(x)$

Will allow SIM
Expected polynomial
time

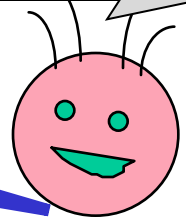
Recall: Isomorphism Example



Graph H

Toss
coin b

b



Choose
random γ_0
permutation
of vertices
of G_0 . Set
 $H = \gamma_0(G_0)$

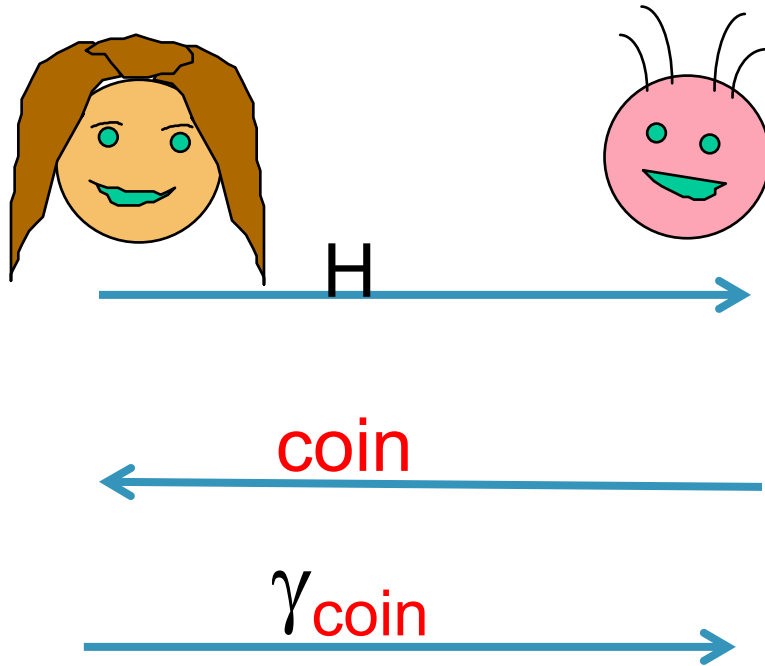
If $b=0$: send γ_0

If $b=1$: send $\gamma_0 \sigma^{-1}$ (where $\sigma(G_0) = G_1$)

View of Bob =

$\{(H, b, \text{random isomorphism from } G_b \text{ to } H)\}$

Zero Knowledge



SIMULATOR M:

- toss **coin** to
- If **coin**=head:
choose random γ_0
set $H = \gamma_0(G_0)$
- If **coin**=tail
choose random γ_1
set $H = \gamma_1(G_1)$

View of Bob =

$\{(H, \text{coin}, \text{random isomorphism of } G_b \text{ to } H)\}$

What if V is not honest:

Perfect Zero-Knowledge (Final def)

For a given P and V on input x , define probability space $\text{View}_{(P,V)}(x) = \{(q_1, a_1, q_2, a_2, \dots, \text{coins})\}$ (over coins of V and P)

(P, V) is **honest verifier perfect zero-knowledge** for L if:
 \exists SIM an expected polynomial time randomized algorithm s.t. $\forall x$ in L , $\text{View}_{(P,V)}(x) = \text{SIM}(x)$

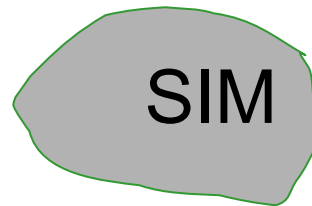
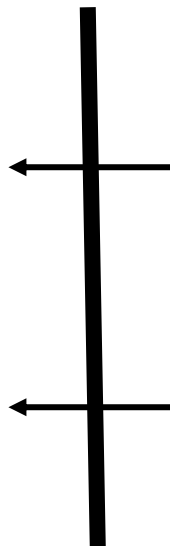
(P, V) is **perfect zero-knowledge** for L if : \forall PPT V^*
 \exists SIM an expected polynomial time randomized algorithm s.t. $\forall x$ in L , $\text{View}_{(P,V^*)}(x) = \text{SIM}(x)$

Prover Gives Perfect Zero Knowledge

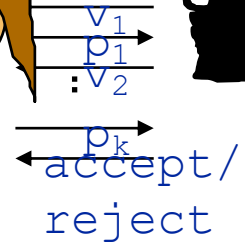
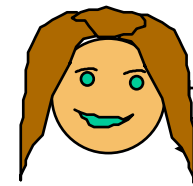
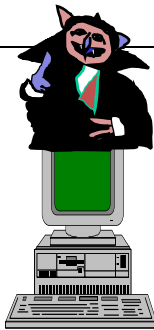
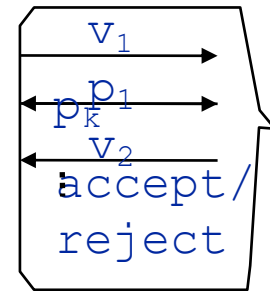
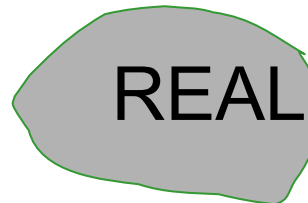
- If: we can efficiently simulate the view of any verifier s.t. 'Simulated views' = 'real verifier' for any poly time verifier



??

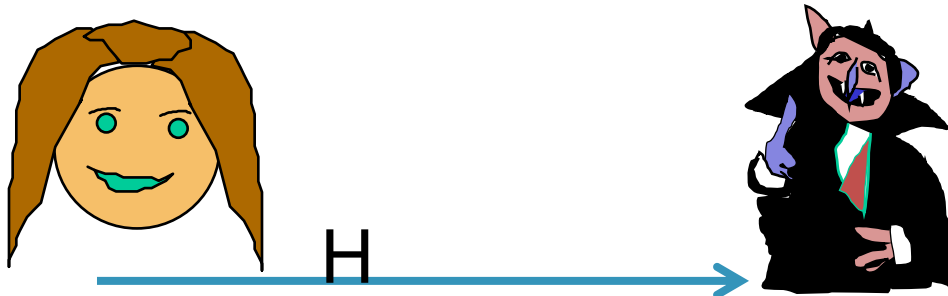


||



The observer
Any Algorithm


Zero Knowledge Proof that G_1 isomorphic to G_2



← coin

if coin=coin. answer
Else abort and try again

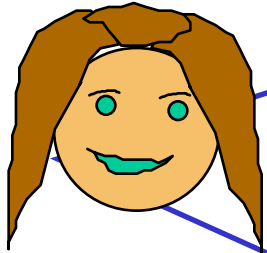
SIMULATOR SIM:

1. toss coin
2. If coin=head:
choose random γ_0
set $H = \gamma_0(G_0)$
If coin=tail
choose random γ_1
set $H = \gamma_1(G_2)$
3. Feed H to V^*
4. If V^* outputs 
coin==coin
output $(H, \text{coin}, \gamma_{\text{coin}})$
Else abort and
goto 1 again.

Claim:

$\text{prob}[\text{coin}=\text{coin}] = \frac{1}{2}$,
Expected [number of repetitions of SIM] = 2.
For k repetitions, SIM expected trials = 2k

Claim: $y = x^2 \pmod N$ is solvable



Repeat 100 times


$$z = [r^2 \pmod n]$$

$$zy = [(rx)^2 \pmod n]$$

- If I gave you solutions to both, that is r and rx , you would be convinced that the claim is true but also know x
- Instead, I will give you a solution to only one equation, either r or rx but you can choose which!

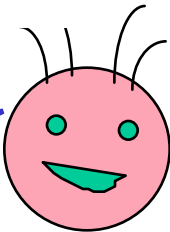
Choose $1 < r < n$ at random

$$1 - \left(\frac{1}{2}\right)^{100}$$

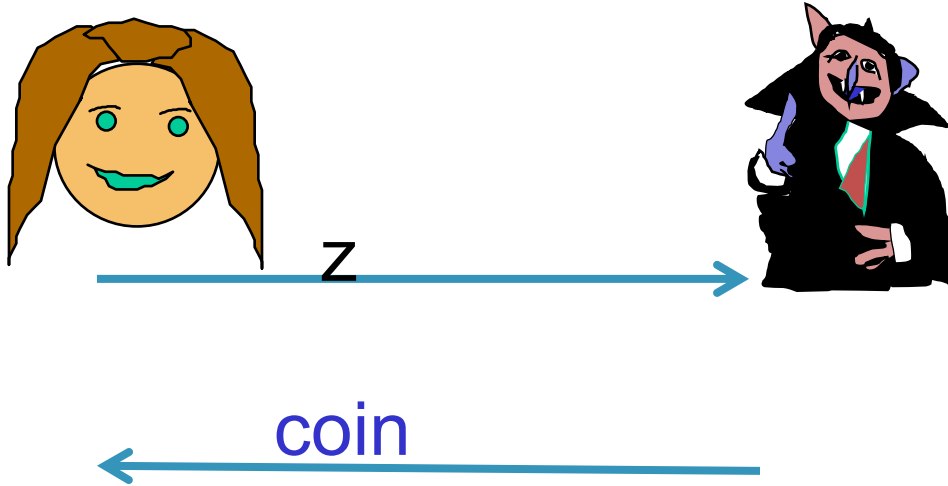
Flip a  to choose an equation

Gives a solution to the equation requested

Accepts claim only if gets correct solution



Zero Knowledge Proof that $Y=x^2 \pmod N$



if **coin** \neq **coin** abort
If **coin**=**coin**, send r

SIMULATOR SIM:

1. toss **coin**

2. If **coin**=**head**:
choose random r
set $z=r^2 \pmod n$

If **coin**=**tail**

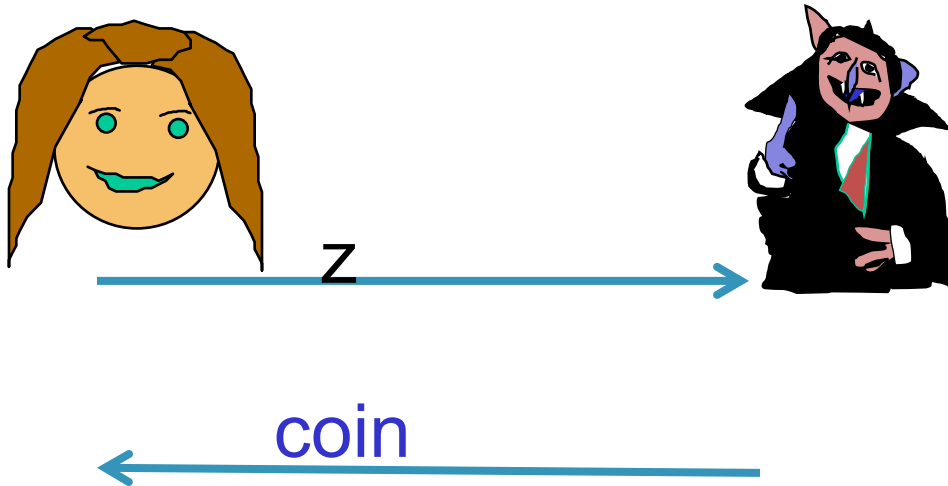
choose random r

set $z=(ry^{-1})^2 \pmod n$

3. Feed z to V^* = 

4. If $V^*(z)$ outputs **coin** \neq **coin**
abort and goto 1
else for **coin**=**head**
output(H , **coin**, r) &
for **coin**=**tail**,
output(H , **coin**, r)

Zero Knowledge Proof that $Y=x^2 \pmod N$



if **coin** \neq **coin** abort
If **coin**=**coin**, send r

SIMULATOR SIM:

1. toss **coin**

2. If **coin**=**head**:
choose random r
set $z=r^2 \pmod n$

If **coin**=**tail**

choose random r

set $z=(ry^{-1})^2 \pmod n$

3. Feed z to V^* = 

4. If $V^*(z)$ outputs **coin** \neq **coin**

abort and goto 1

or **coin**=**head**

(H, coin, r) &

n=**tail**,

$t(H, \text{coin}, r)$

Claim:

$\text{prob}[\text{coin}=\text{coin}] = \frac{1}{2}$,

Expected [number of repetitions of M] = 2.

For k repetitions, M expected trials = 2k

SIM: Expected Polynomial Time

- Analysis can be confusing
- Instead can change def to allow
 - $SIM(x)$ to output \perp with probability at most $1/2$ and require
 - $View(x) = SIM(x)$ to be conditioned on the event that $M(x)$ does not output \perp
 - $1/2$ can be relaxed to $neg(x)$

What Made it possible?

Randomness

- The statement to be proven has **many possible proofs** of which the prover chooses one *at random*.
- Each such proof is made up of exactly 2 parts: seeing either part on its own gives the verifier no knowledge; seeing both parts imply 100% correctness.
- Verifier chooses **at random** which of the two parts of the proof he wants the prover to give him. The ability of the prover to provide either part, convinces the verifier

Recall, being able to quickly find a root of random number is equivalent to being able to factor n .

- Let A be an algorithm which can compute one root of a random input x .
- Q: How to convert the proof that y is a quadratic residue to proving that you know the factorization of $n = A(x)$.
- Pick a randomization r of $n = A(x)$.
- With 50% chance r and r_1 are different and you can factor n . Repeat until n is factored.

Actually, Alice seems to have proved more: that she actually “knows” the isomorphism (square root)

Let V be polynomial time relation. Let $(x,w) \in V$
 V defines Language $L_V = \{x | \exists w \text{ s.t. } V(x, w) = 1\}$.

We say that (P,V) is a **proof of knowledge** for L_V

[or that P on x knows w] *if:*

\exists an **extractor** algorithm E s.t. for all x

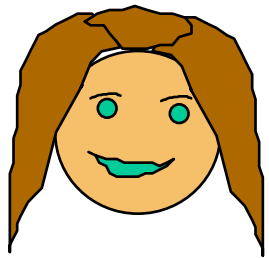
$E^P(x)$ outputs w *in expected polynomial time*

ZKPOK: zero knowledge proof of knowledge

a

This is called the **rewinding technique**

ZKPOK that Prover knows an isomorphism from G_1 to G_2



H

Extractor
Algorithm



Extractor  :

- 1) On input H
set **coin**=head
Store γ_0
- 2) **Rewind** and 2nd time
set **coin**=tail
Store γ_1
- 3) Output $\gamma_1^{-1}(\gamma_0)$

ZKPOK

Let V be polynomial time relation. Let $(x,w) \in V$
 V defines Language $L_V = \{x | \exists w \text{ s.t. } R(x,w) = 1\}$.

We say that (P,V) is a **proof of knowledge** for L_R

[or that P on x knows w] *if*:

\exists an extractor algorithm E s.t. for all x and **for all P'** ,

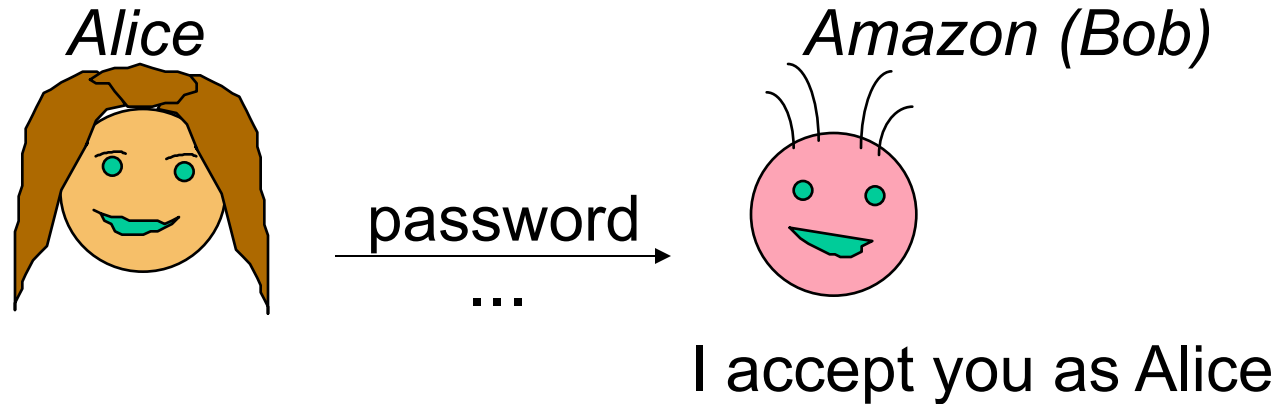
If $\text{Prob}[(P',V)[x] = \text{accepts}] = \alpha$, Then

$E^P(x)$ outputs w in *expected polynomial time* $(|x|, 1/\alpha)$

Why did we disturb the classical notion of proof ?

- Preventing Identity Theft
- Proving Properties of secrets
- Can verify statements **not verifiable** efficiently with classical NP proofs
- Secure Protocols

Classical Passwords: Identity Theft



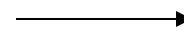
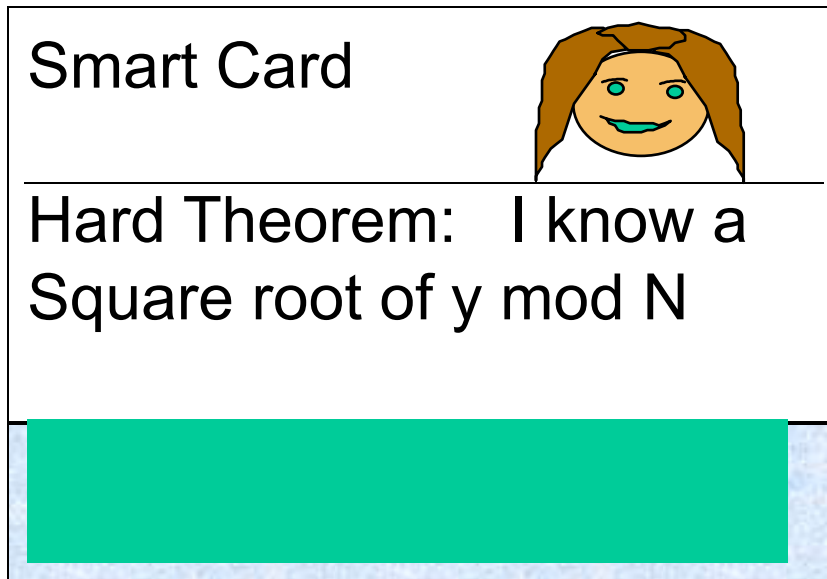
For Settings:

- Alice = Smart Card.
- Over the Net

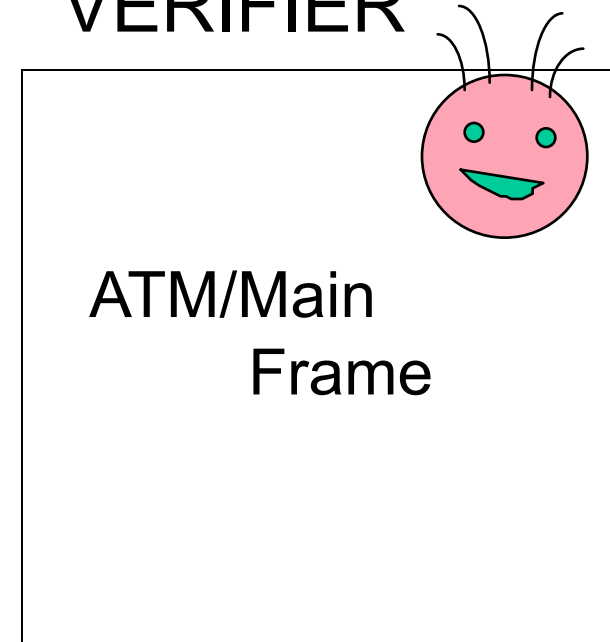
Passwords are no good

Zero Knowledge: Preventing Identity Theft

PROVER

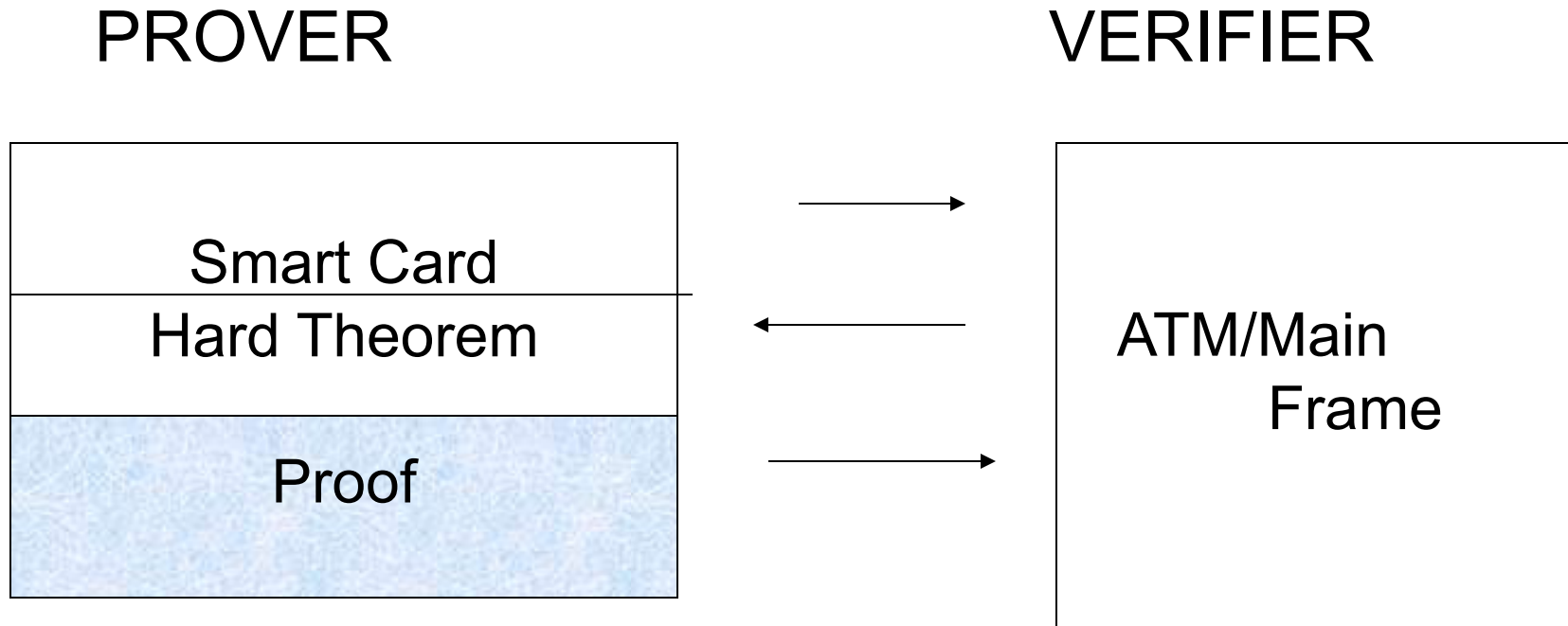


VERIFIER



To identify itself prover proves that he knows a proof of the theorem.

More generally,



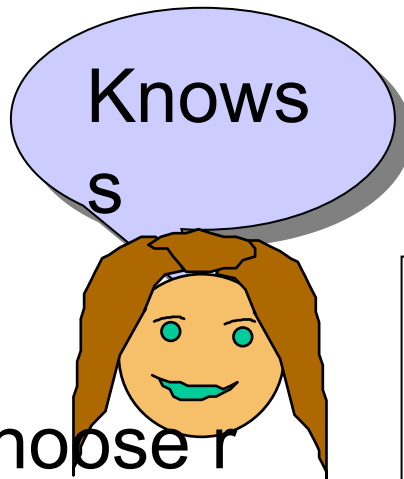
To identify itself Prover proves in zero-knowledge it knows a proof of the hard theorem.

Schnorr Identification

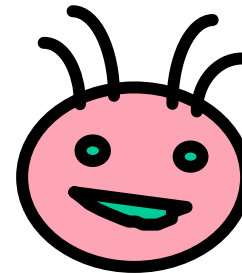
Let G be a cyclic group of prime order q ,

Let both prover and verifier know v in G and

Claim: (P, V) is ZKPOX for the discrete log of y



Input: g, y



1. Choose r
At random
In \mathbb{Z}_q

$$R = g^r \text{ mod } p$$



c



$$z = r + cs \text{ mod } q$$

2. Choose c
At random in $\{0, 1\}$

3. Let $z = r + cs$



4. Accept iff
 $g^z = Ry^c \text{ mod } p,$