

# Berkeley CS276 & MIT 6.875

## Pseudorandom Permutations and Symmetric Key Encryption

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# Announcements

- Starting to record
- Psets grading policy:
  - We count your best 5 out of 6 psets
  - Total of 10 days late, but at most 5 days late for every pset so that we can post solutions in a timely way
  - 5% participation grade, 95% psets
    - If extenuating circumstances prevent participation (e.g. due to timezone), solve a problem of the 6<sup>th</sup> pset and tell us which one you want graded when you submit the pset

# Overview

Last time: PRFs

Today

- PRPs/ Block ciphers
  - Theoretical constructions
  - Practical constructions: AES
- Symmetric key encryption schemes
  - Definitions
  - Practical constructions from block ciphers

# Pseudorandom permutations (PRPs) or block ciphers - intuition

A family of functions  $f: \{0,1\}^{|k|} \times \{0,1\}^n \rightarrow \{0,1\}^n$  indexed by the “key”  $k$ .

**Correctness:**  $f_k$  is a permutation (bijective function)

**Efficiency:** Can sample  $k$ , compute  $f_k(x)$  and invert it with  $k$

**Pseudorandomness:** For a random  $k$ ,  $f_k$  “behaves” like a random permutation from the perspective of a PPT distinguisher

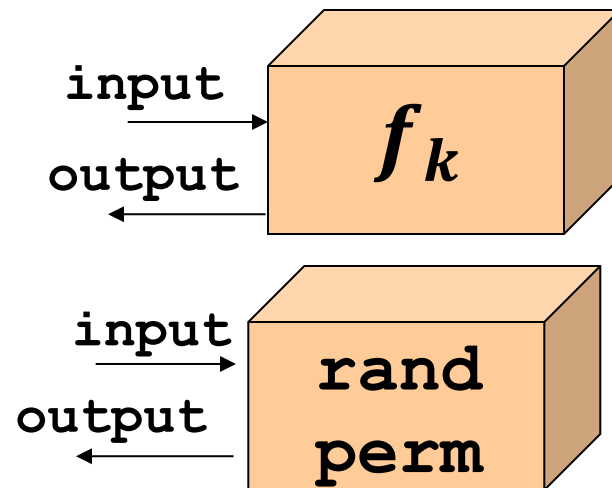
# Block cipher: security game

Attacker is given two boxes, one for  $f_k$  and one for a random permutation (also called “oracles”)

Attacker can give inputs to each oracle, look at the output, repeat as many times as he/she desires

Attacker wins if it guesses which is  $f_k$

??? which is  $f_k$ ???



# PRP

Let  $H_n = \{ f: \{0,1\}^n \rightarrow \{0,1\}^n \}$  be all permutations from  $n$  bits to  $n$  bits.

**Definition:** A sequence of random variables  $F = \{F_n\}_n$  with  $F_n$  a distribution over  $H_n$  is a **pseudorandom permutation ensemble** iff there

Efficiently computable and invertible

1. exists PPT alg  $Gen(1^n) \rightarrow k$  s.t.  $f_k \in F_n$   $\{k \leftarrow Gen(1^n); f_k\}$  is equal to  $F_n$  (efficient sampling)
2. exists PPT alg  $E$  such that  $E(k, x) = f_k(x)$  (efficient eval)
3. exists PPT alg  $I$  such that  $I(k, x) = f_k^{-1}(x)$  (efficient inversion)
4. for all PPT oracle distinguishers  $D$ , for all sufficiently large  $n$ ,  
 $|\Pr[Gen(1^n) \rightarrow k; D^{\{f_k\}}(1^n) = 1] - \Pr[R \leftarrow H_n; D^R(1^n) = 1]| = \text{negl}(n)$   
(pseudorandom)

# Exercises

Let  $H_n = \{ f: \{0,1\}^n \rightarrow \{0,1\}^n \}$  be all permutations from  $n$  bits to  $n$  bits.

[...]

for all PPT oracle distinguishers  $D$ , for all sufficiently large  $n$ ,  
 $|\Pr[Gen(1^n) \rightarrow k; D^{\{f_k\}}(1^n) = 1] - \Pr[R \leftarrow H_n; D^R(1^n) = 1]| = \text{negl}(n)$   
(pseudorandom)

Q: Let  $\{U_n\}_n \subseteq H_n$  where  $U_n$  is the uniform distribution over all permutations from  $n$  to  $n$  bits. Is  $U_n$  pseudorandom?

A: yes

Q: Let  $\{U_n^*\}_n \subseteq H_n$  where  $U_n^*$  is the uniform distribution over all permutations from  $n$  to  $n$  bits except for the identity distributions. Is it pseudorandom?

A: yes, still statistically close to random

# How can we construct PRPs?

The theory way:

Luby-Rackoff'86:  $\text{PRF} \Rightarrow \text{PRP}$

The practical way:

Rijmen and Daemen'03: AES proposal to NIST



# The theory way - warmup

Let  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  be any function. Let's build a permutation  $g: \{0,1\}^{2n} \rightarrow \{0,1\}^{2n}$  from  $f$ .

Let  $g(x, y) = (y, f(x))$ . Is it a permutation?

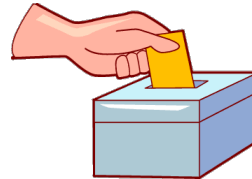
No. Let  $f(x) = c$ . Then  $g(1, 10) = g(2, 10)$

# The theory way

Let  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  be any function. Let's build a permutation  $g: \{0,1\}^{2n} \rightarrow \{0,1\}^{2n}$  from  $f$ .

Let  $g(x, y) = (y, f(y) \oplus x)$ .

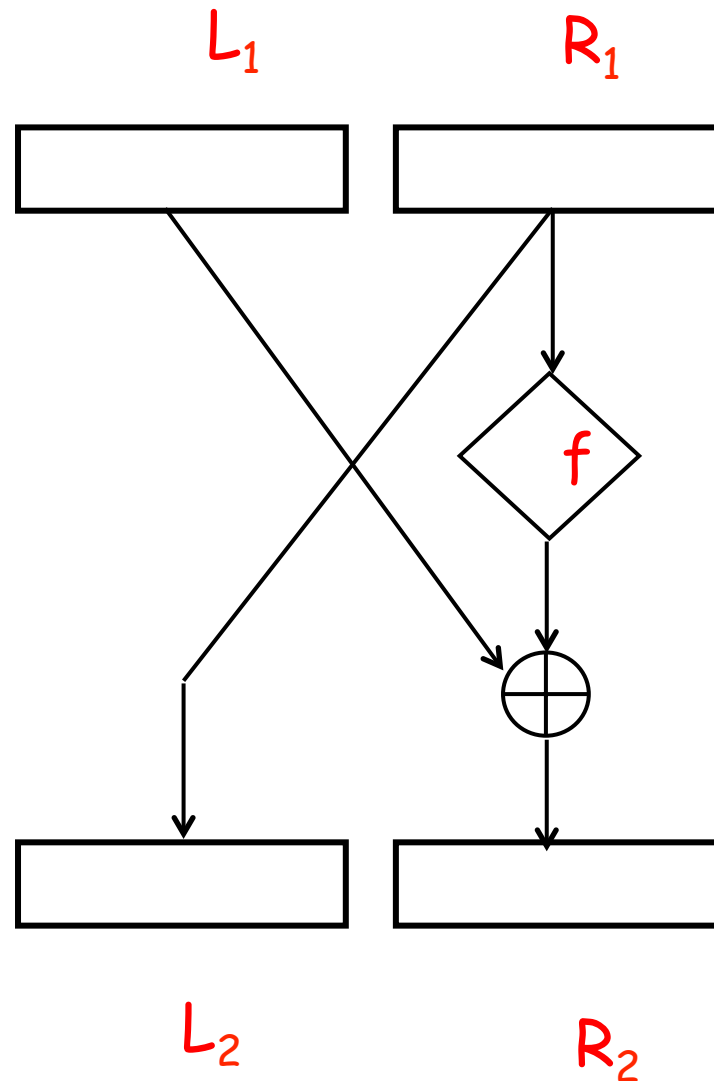
Is it a permutation?



Yes.  $g^{-1}(y, \alpha) = (\alpha \oplus f(y), y)$

Feistel  
permutations

Feistel permutation: a permutation from  
any  $f: \{0,1\}^n \rightarrow \{0,1\}^n$



# Luby-Rackoff '86

**Informal theorem:** Let  $\{F_n\}_n$  be a pseudorandom function family. Let

$$p_{\{k_1, k_2, k_3, k_4\}}(x) = g_{k_4}(g_{k_3}(g_{k_2}(g_{k_1}(x))))$$

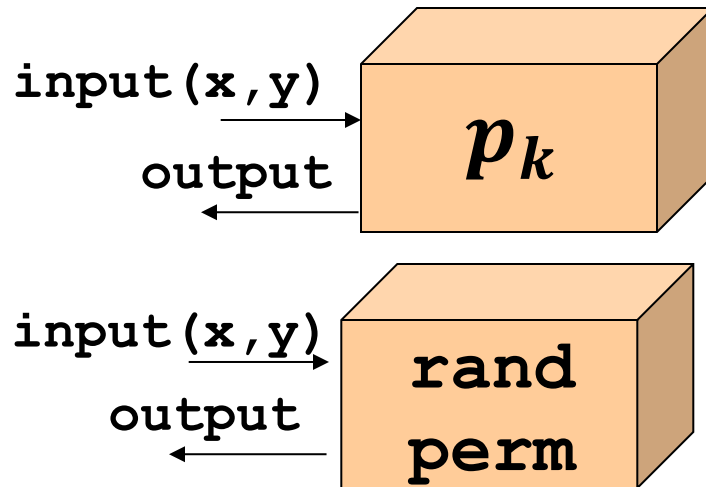
with  $g_k$  being the Feistel permutation from  $f_k$ .

Then  $\{P_{2n}\}_{2n}$  is a pseudorandom permutation family.

Proof (optional): see assigned reading

# Luby-Rackoff '86 intuition

??? which is  $p_k$ ???



How can the attacker distinguish?

$g_{k_1}(x, y) = (y, f_{k_1}(y) \oplus x)$  Sees  $y$  in the output.

$$g_{k_2}(g_{k_1}(x, y)) = (f_{k_1}(y) \oplus x, f_{k_2}(f_{k_1}(y) \oplus x) \oplus x)$$

Two inputs of same  $y$  can distinguish lefts.

# How can we construct PRPs?

The theory way:

Luby-Rackoff'86:  $\text{PRF} \Rightarrow \text{PRP}$

The practical way:

Rijmen and Daemen'03: AES proposal to NIST

# Advanced Encryption Standard (AES)

- Block cipher developed in 1998 by Joan Daemen and Vincent Rijmen
- Submitted as a proposal to NIST (US National Institute for Standard and Technology) during the AES selection process
- It won, so it was recommended by NIST
- It was adopted by the US government and then worldwide
- Block length  $n$  is 128bits, key length  $k$  is 256bits

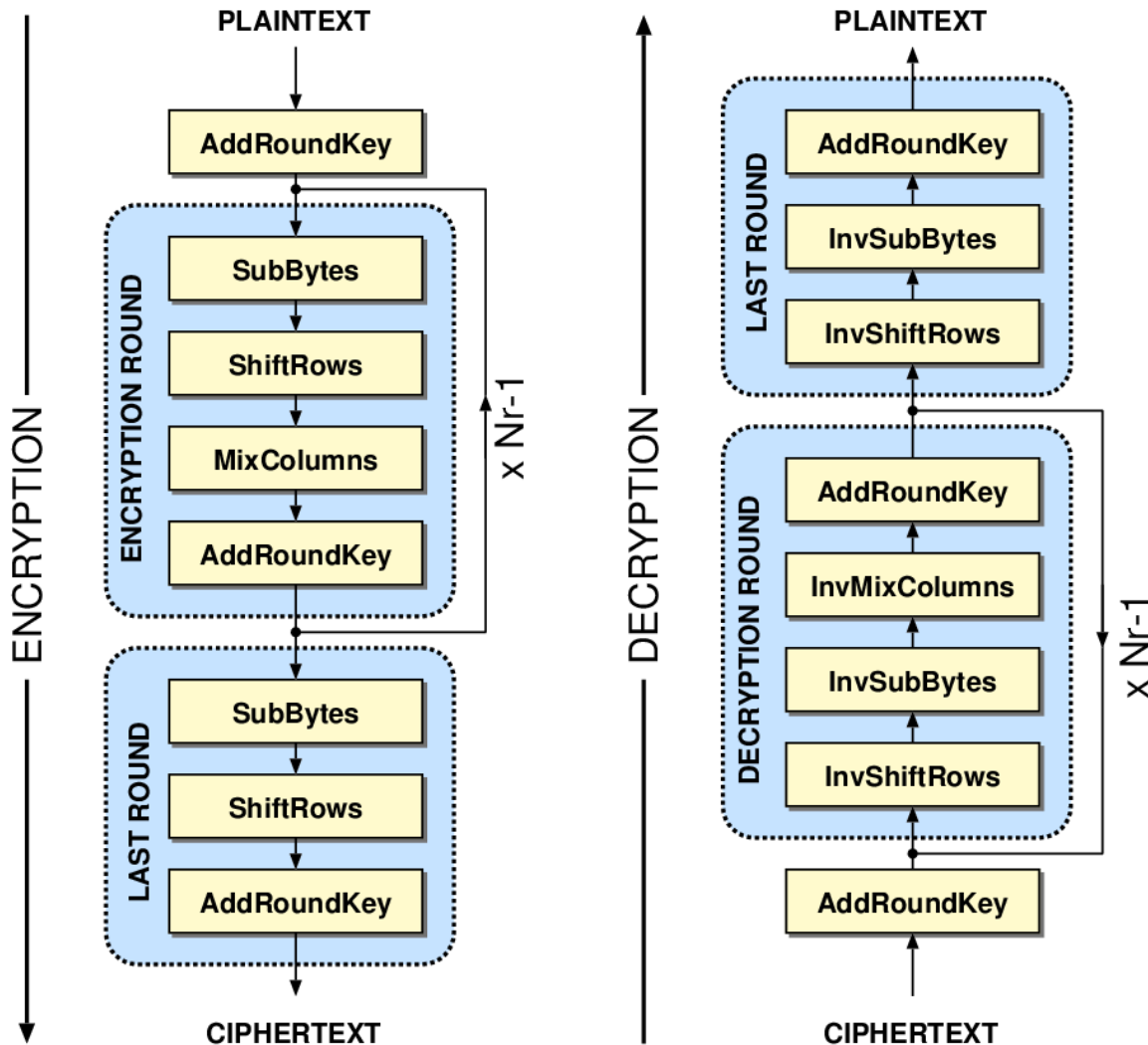
# Cryptanalysis

Not provably secure but an educated assumption that it is

- It stood the test of time and of much cryptanalysis (field studying attacks on crypto schemes)
  - [Bogdanov et al.'11]:  $2^{126.2}$  operations to recover an AES-128 key.
  - Snowden documents attempts by the NSA to break it
- So far, no efficient algorithm comes close to breaking it.



# AES ALGORITHM

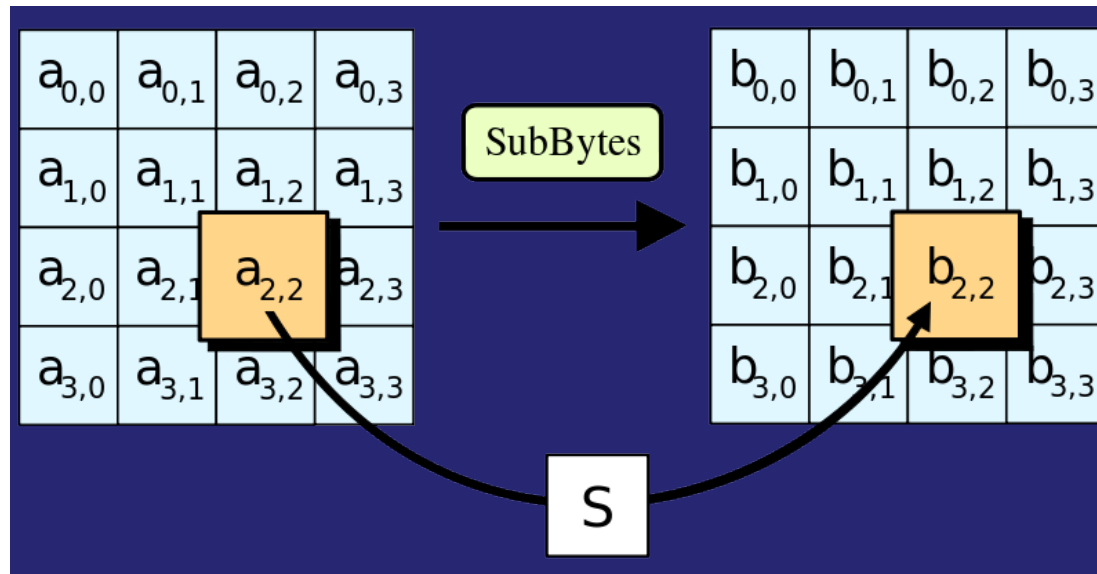


- 14 cycles of repetition for 256-bit keys.

You don't need to understand why AES is this way, just get a sense of its inner workings

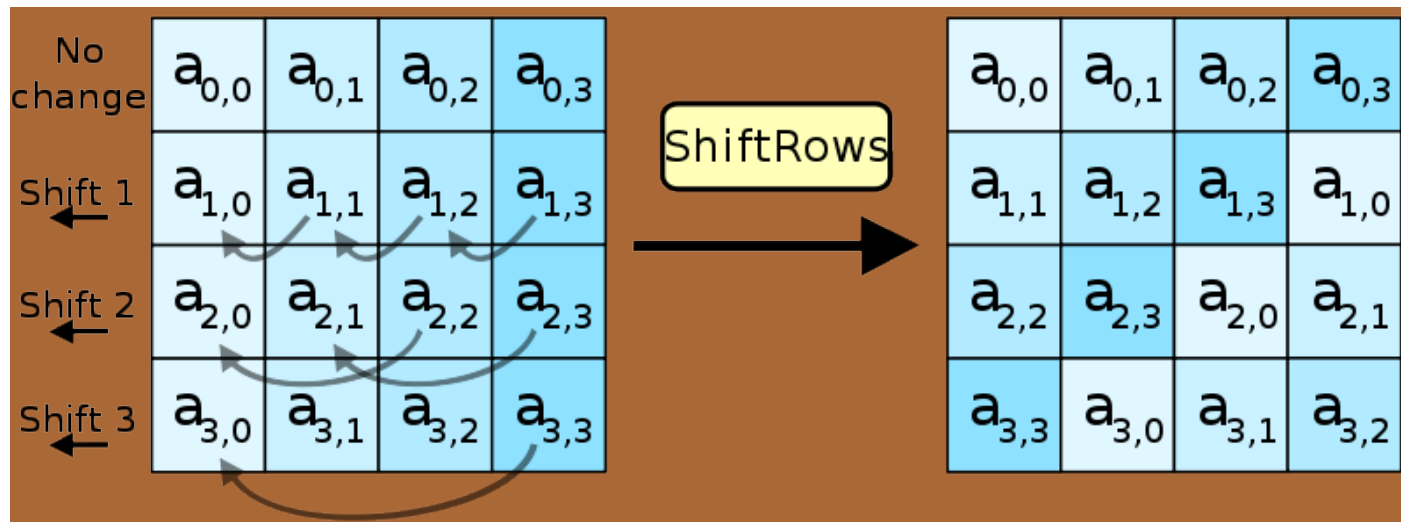
# Algorithm Steps - Sub bytes

- each byte in the *state* matrix is replaced with a SubByte using an 8-bit substitution box
- $b_{ij} = S(a_{ij})$

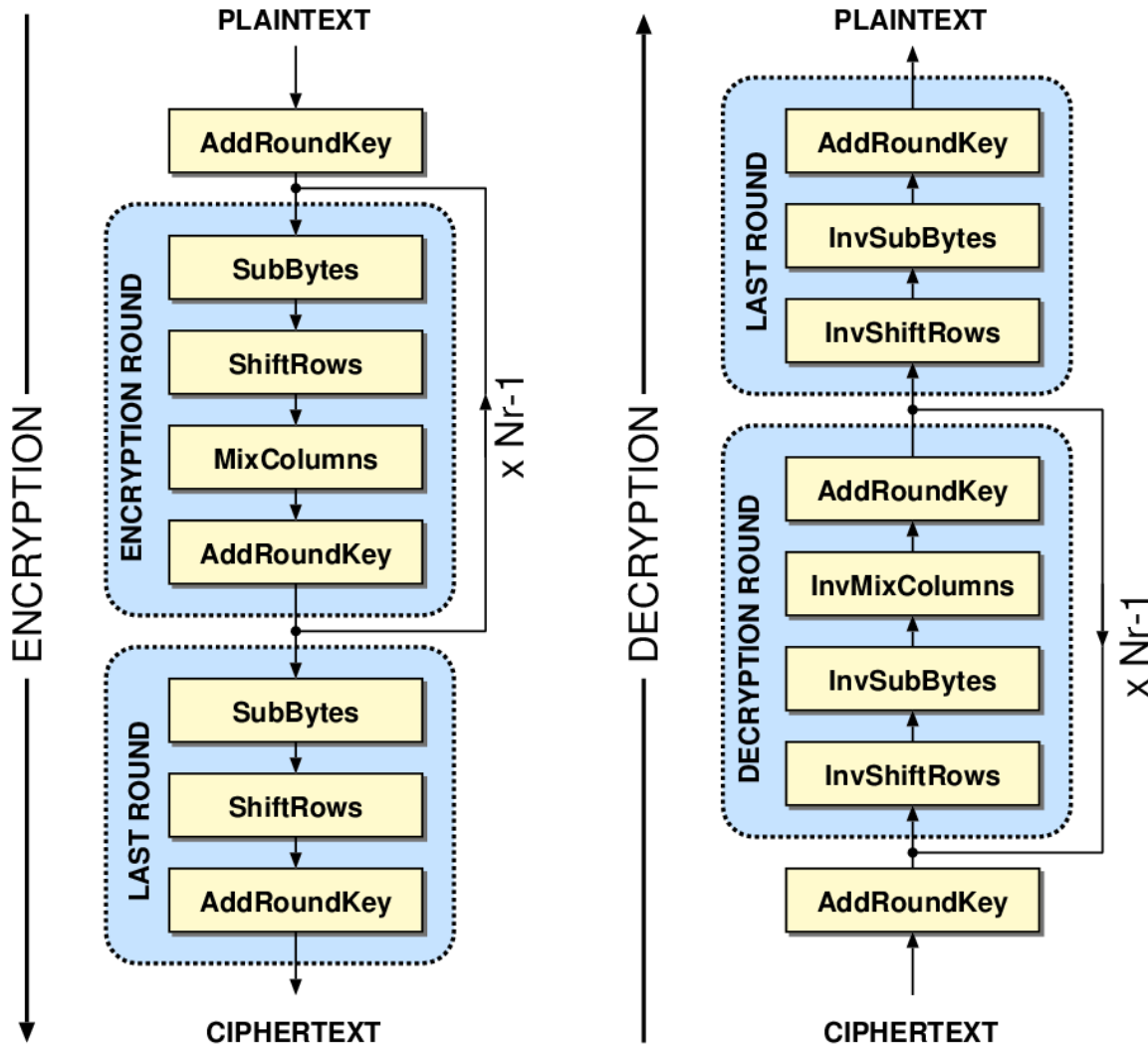


# Shift Rows

- Cyclically shifts the bytes in each row by a certain offset
- The number of places each byte is shifted differs for each row



# AES ALGORITHM



- The key gets converted into round keys via a different procedure
- 14 cycles of repetition for 256-bit keys.

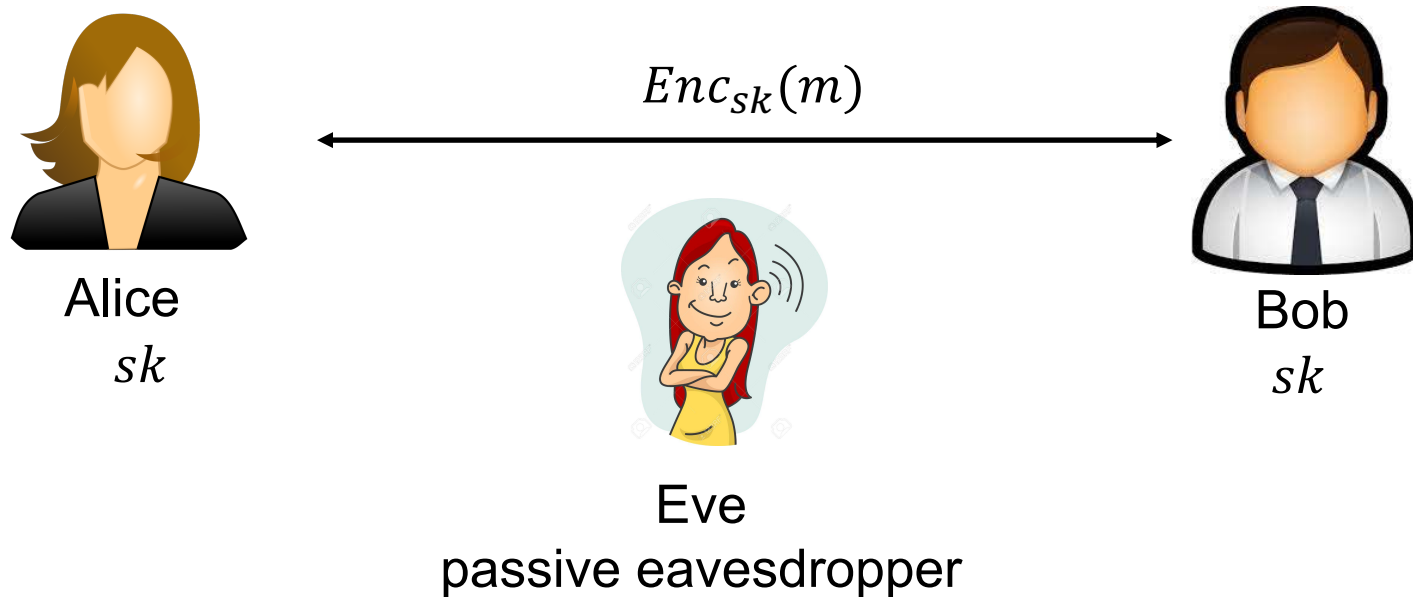
**You don't need to understand why AES is this way, just get a sense of its inner workings**

# Widely used

- Government Standard
  - AES is standardized as Federal Information Processing Standard 197 (FIPS 197) by NIST
  - To protect classified information
- Industry
  - SSL / TLS
  - SSH
  - WinZip
  - BitLocker
  - Mozilla Thunderbird
  - Skype

Used as part of symmetric-key encryption or other crypto tools

# Symmetric-key encryption scheme



Alice can send a message  $m$  to Bob encrypted using  $sk$  and Bob can decrypt it using  $sk$ , but Eve cannot learn what the message is other than its length

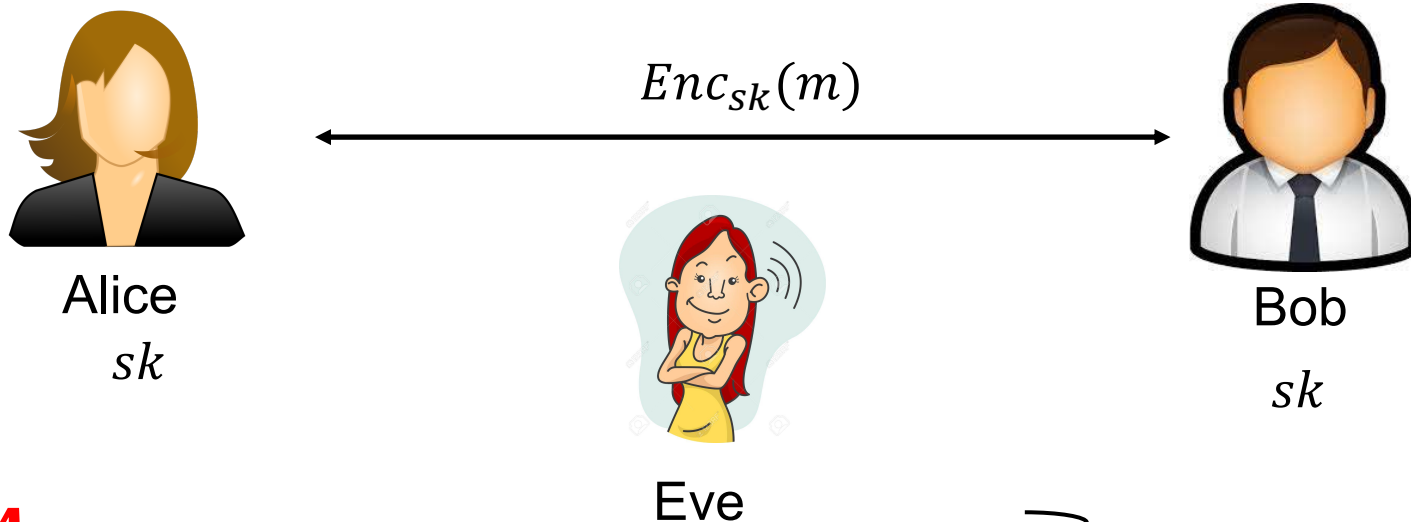
# Symmetric-key encryption scheme

An encryption scheme  $(Gen, Enc, Dec)$  is a triple of PPT algs, where

- **Key generation**  $Gen(1^n)$  outputs a secret key  $sk$  ( $n$  is security parameter)
- **Encryption**  $Enc(sk, m) \rightarrow c$  a ciphertext
- **Decryption**  $Dec(sk, c) \rightarrow m$

**Correctness:** For all  $n, m$ ,  $sk \leftarrow Gen(1^n)$ ,  
 $Dec(sk, Enc(sk, m)) = m$

# Security intuition



**A**

Eve should learn nothing about the message other than its length,

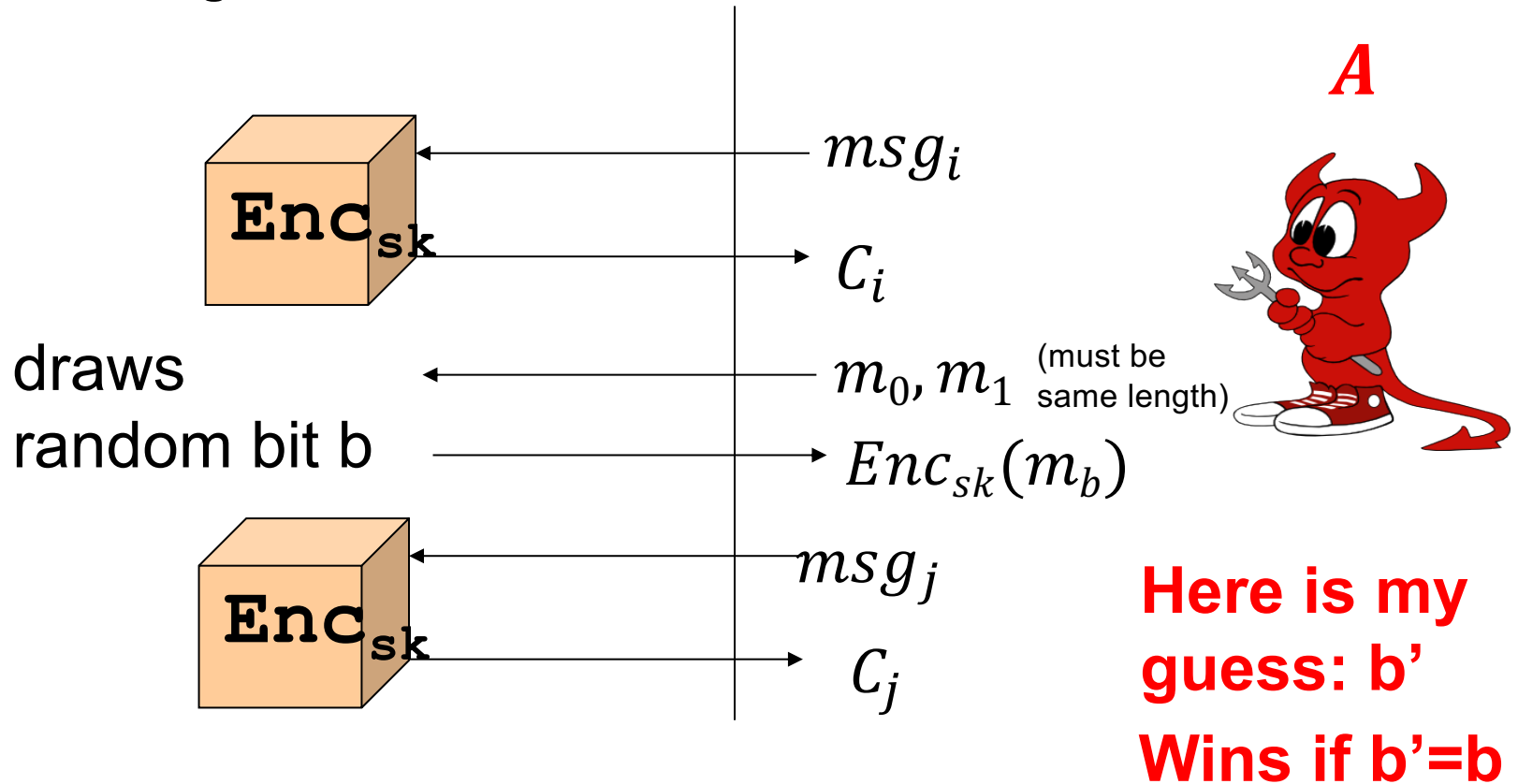
even if she sees other encryptions of messages she chose

IND-CPA =  
indistinguishability  
under chosen plaintext  
attack



# IND-CPA game

Challenger  $sk$



Attacker must not win much more than random guessing

# IND-CPA

**Definition.** An encryption scheme  $(Gen, Enc, Dec)$  is IND-CPA secure if for every **PPT adversary**  $A$ ,

$$\Pr \left[ \begin{array}{l} sk \leftarrow Gen(1^n); A^{\{Enc(sk,*)\}}(1^n) = (m_0, m_1), \\ \quad \text{with } |m_0| = |m_1| \\ b \leftarrow \{0,1\}; A^{Enc(sk,*)}(Enc(sk, m_b)) = b' : \\ \quad b' = b \end{array} \right] < \frac{1}{2} + \text{negl}(n)$$

Let's construct an IND-CPA symmetric  
key encryption scheme  
using a block cipher (e.g. AES)  
the way people do in practice

Attempt: use a block cipher directly

Let  $Enc(sk, m) = f_{sk}(m)$ , for  $f$  a block cipher.

What problem(s) do we run into?

**Problem 1:** message might have a different size than the block size of the block cipher

Q: Is  $Enc(sk, m) = f_{sk}(m)$  IND-CPA?

**Problem 2:** No, because it is deterministic

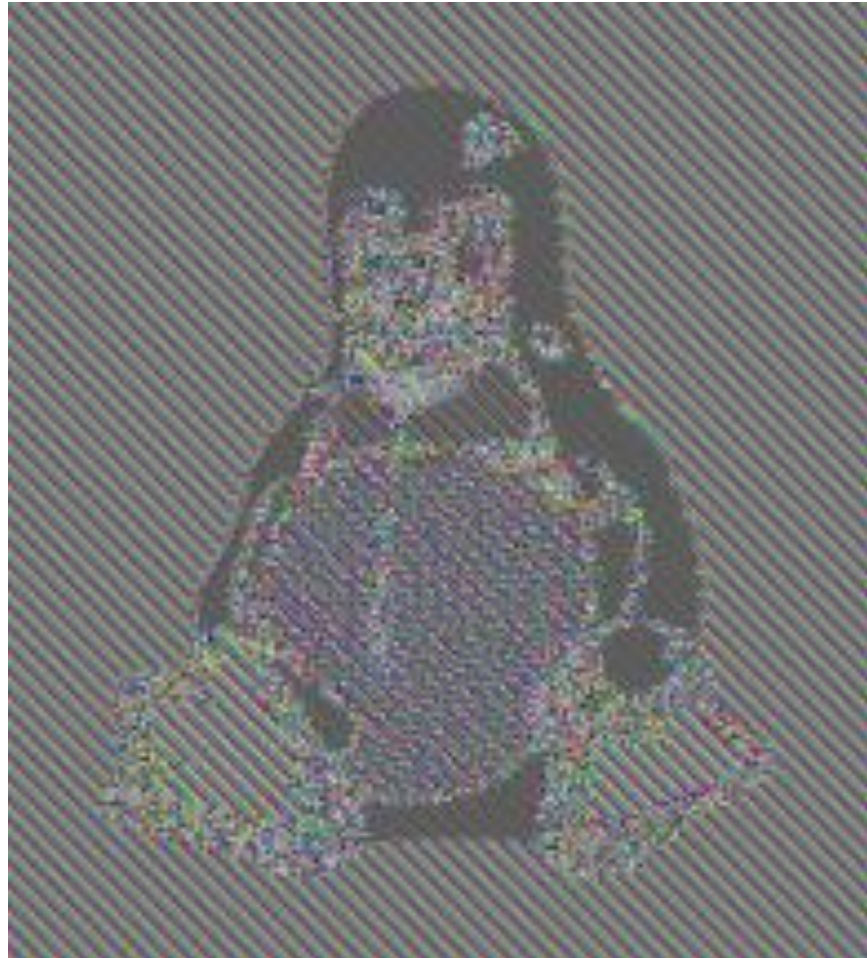
Here is an attacker that wins the IND-CPA game:

- $A$  asks for encryption of “bread”, receives  $C_{br}$
- Then,  $A$  provides  $(m_0 = \text{bread}, m_1 = \text{honey})$
- $A$  receives  $C$
- If  $C = C_{br}$ , Adv says bit was 0 (for “bread”), else  $A$  says bit was 1 (for “honey”)
- Chance of winning is 1

IND-CPA  randomized encryption

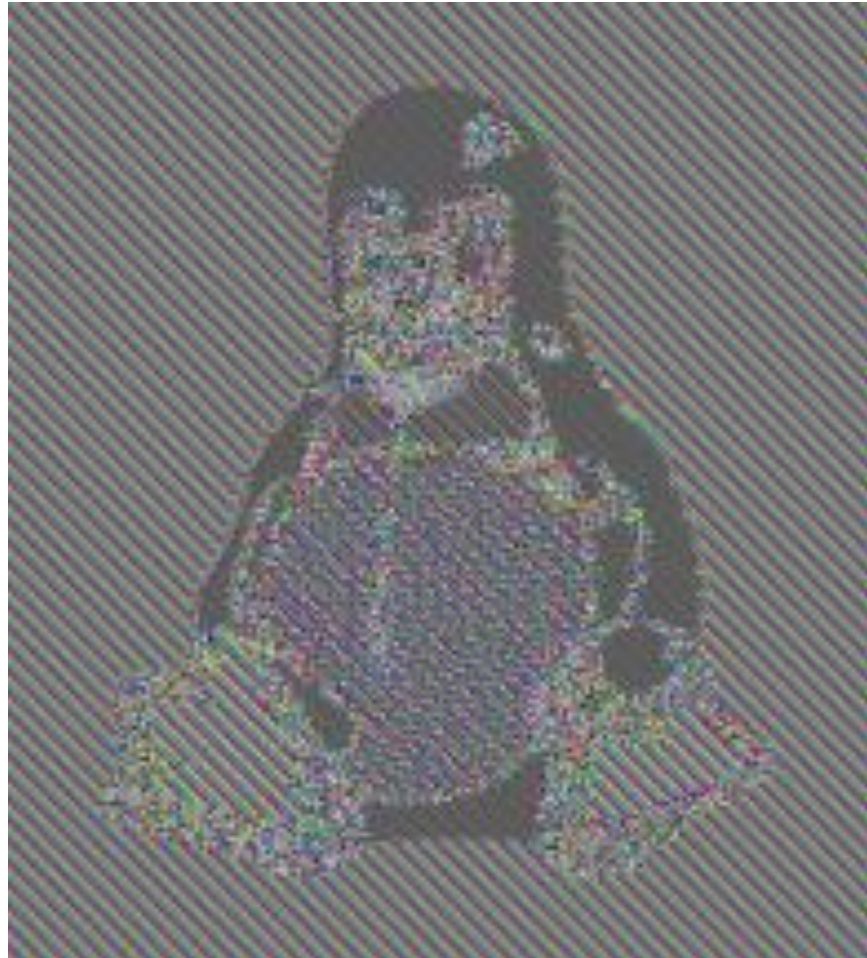


Original image



Eack block encrypted with a block cipher

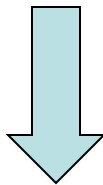




Later (identical) message again encrypted

# Goals

1. **IND-CPA security** even when reusing the same key to encrypt many messages (unlike OTP)
2. Can encrypt messages of **any length**



use a block cipher in  
certain **modes of operation**

# Modes of operation

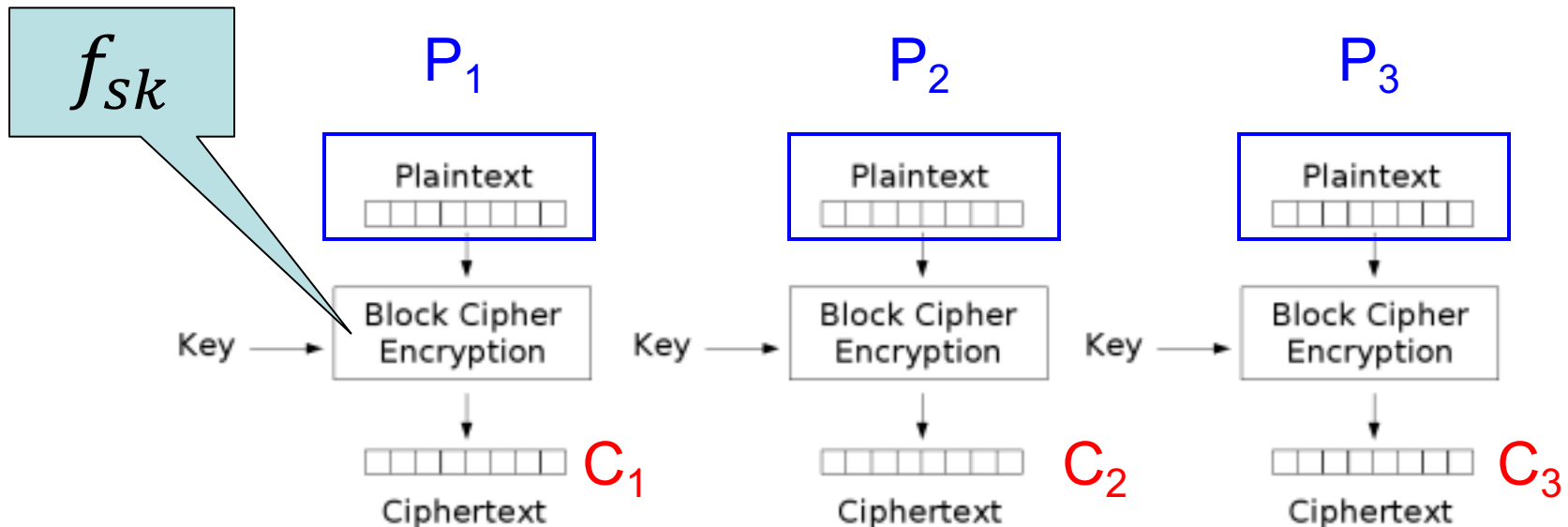
Split the plaintext message in blocks based on the block size of the block cipher

Invoke the block cipher for each block

Need randomness: **nonce or initialization vector IV**

# ECB: Encryption

break message  $m$  into  $P_1|P_2| \dots |P_m$  each of  $n$  bits = block size of block cipher

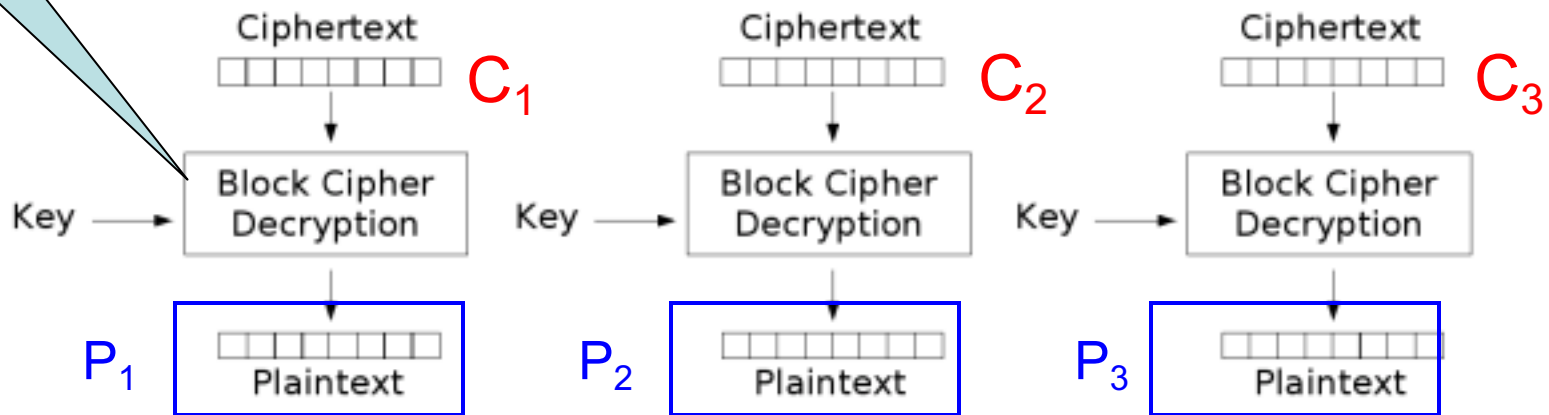


Electronic Codebook (ECB) mode encryption

$$Enc(sk, P_1|P_2|\dots|P_m) = (C_1, C_2, \dots, C_m)$$

# ECB: Decryption

$f_{sk}^{-1}$



Electronic Codebook (ECB) mode decryption

$$Dec(sk, (C_1, C_2, \dots, C_n)) = (P_1, P_2, \dots, P_m)$$

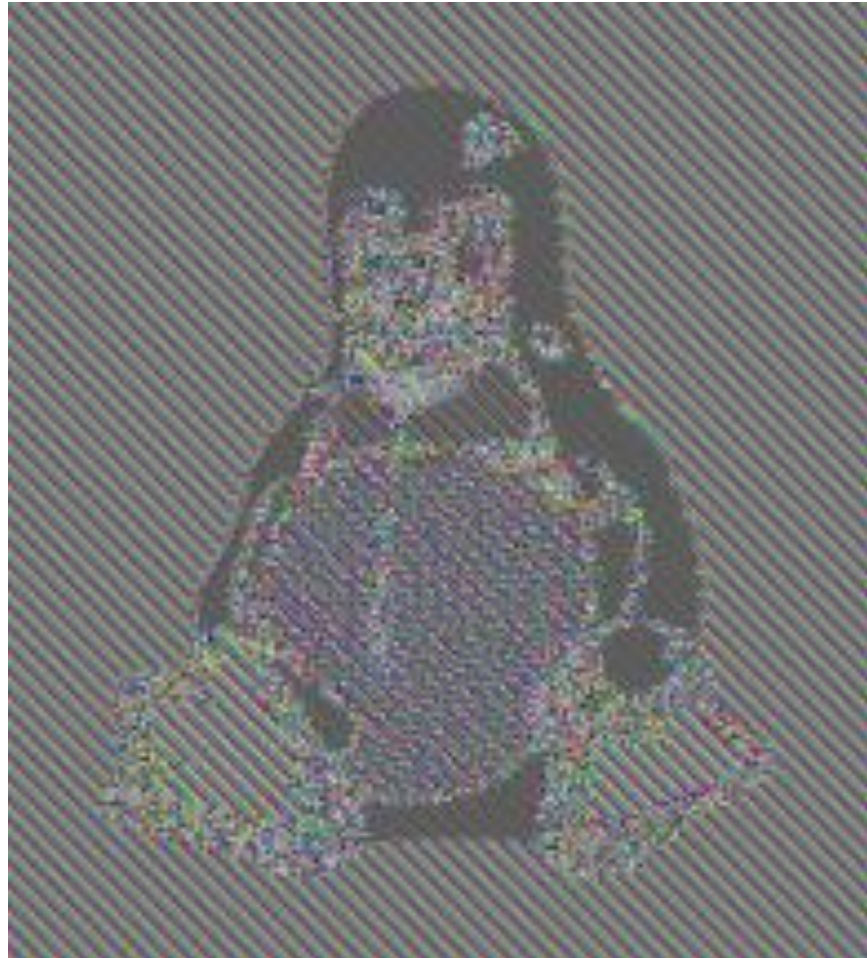
What is the problem with ECB?

Q: Does this achieve IND-CPA?

A: No, attacker can tell if  $P_i = P_j$

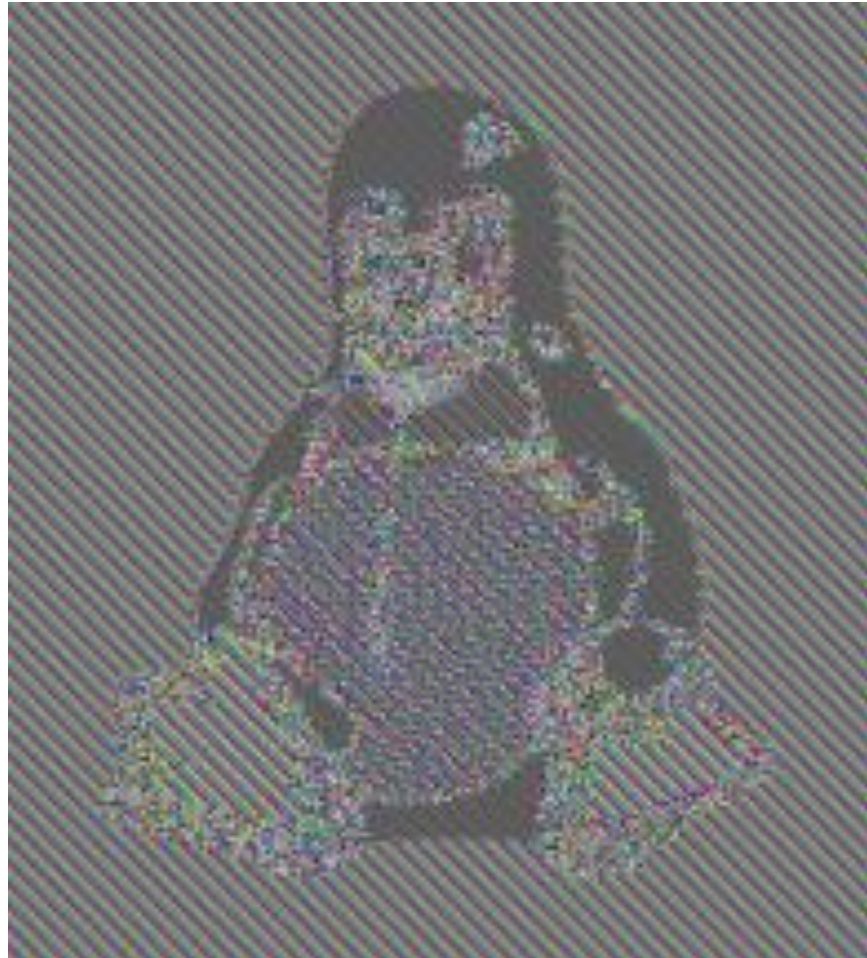


Original image



Encrypted with ECB





Later (identical) message again encrypted with ECB

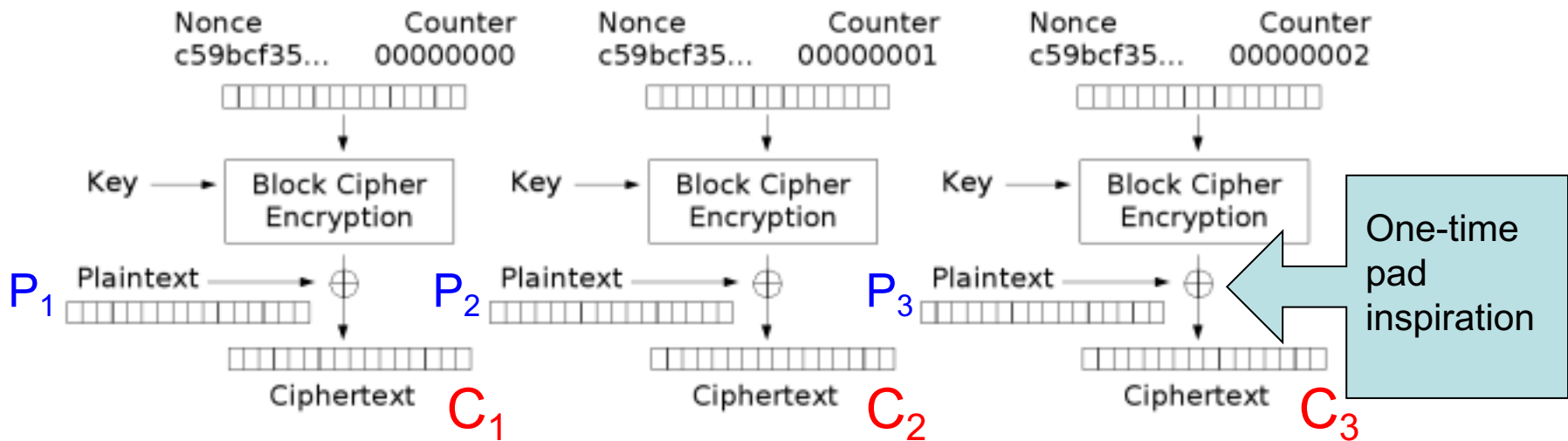
# Counter mode (CTR)

# CTR: Encryption

$Enc(sk, m)$ :

- Split the message  $m$  in blocks of size  $n$ :  $P_1, P_2, P_3, \dots$
- Choose a random nonce
- Compute:

Important that nonce does not repeat across different encryptions (choose it at random from large space)



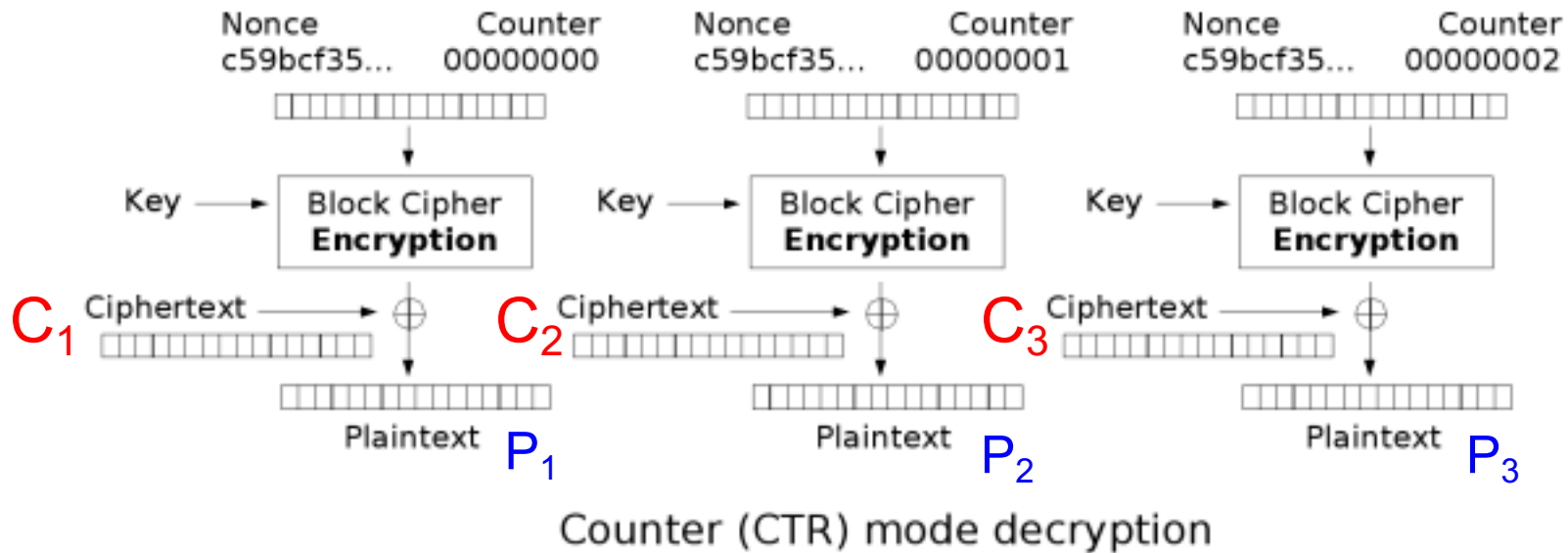
Counter (CTR) mode encryption

$$Enc(sk, m) = (nonce, C_1, C_2, \dots)$$

# CTR: Decryption

$Dec(sk, \text{ciphertext} = [\text{nonce}, C_1, C_2, C_3, \dots])$ :

- Take nonce out of the ciphertext
- Split the ciphertext in blocks of size  $n$ :  $C_1, C_2, C_3, \dots$
- Now compute this:

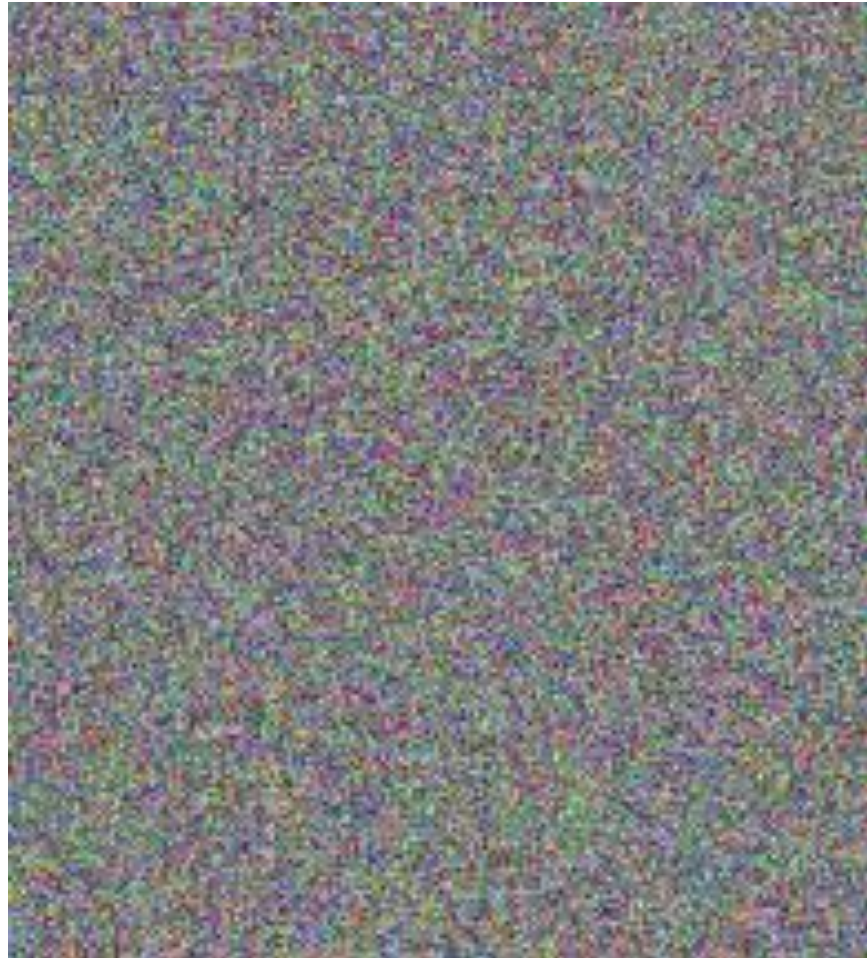


- Output the plaintext  $m$  as the concatenation of  $P_1, P_2, P_3, \dots$

Note, CTR decryption uses block cipher's *encryption*, not decryption



Original image



Encrypted with CBC

# PRP $\Rightarrow$ IND-CPA enc

**Claim.** If  $F$  is a pseudorandom permutation ensemble, using  $F$  in CTR mode results in an IND-CPA symmetric-key encryption scheme.

**Informal proof.** By contradiction. Assume  $A$  breaks IND-CPA and construct  $B$  that breaks PRP property.  $B$  runs  $A$  using the PRP oracles.

# Summary

## PRPs and how to construct them

- The theory way:

Luby-Rackoff'86:  $\text{PRF} \Rightarrow \text{PRP}$

- The practical way:

Rijmen and Daemen'03: AES proposal to NIST

## Symmetric-key encryption and IND-CPA

- Construct using block cipher in cipher chaining modes